

1 **Ocean Heat Uptake in Eddying and Non-eddy Ocean Circulation Models**  
2 **in a Warming Climate**

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## ABSTRACT

4  
5 Ocean heat uptake is explored with non-eddy (2°), eddy-permitting (0.25°) and eddy-resolving  
6 (0.125°) ocean circulation models in a domain crudely representing the Atlantic basin connected  
7 to a southern circumpolar channel with flat bottom. The model is forced with a wind stress and  
8 a restoring condition for surface buoyancy that is linearly dependent on temperature, both being  
9 constant (in time) in the control climate. When the restore temperature is instantly enhanced  
10 regionally, two distinct processes are found relevant for the ensuing heat uptake: heat uptake into  
11 the ventilated thermocline forced by Ekman pumping and heat absorption in the deep ocean through  
12 meridional overturning circulation (MOC). Temperature increases in the thermocline occur on the  
13 decadal timescale whereas, over most of the abyss, it is the millennial time scale that is relevant, and  
14 the strength of MOC in the channel matters for the intensity of heat uptake. Under global, uniform  
15 warming, the rate of increase of total heat content increases with both diapycnal diffusivity and  
16 strengthening southern ocean westerlies.

17 In models with different resolutions, ocean responses to uniform warming share similar patterns  
18 with important differences. The transfer by mesoscale eddies is insufficiently resolved in the eddy-  
19 permitting model, resulting in steep isopycnals in the channel and weak lower MOC, and this in turn  
20 leads to weaker heat uptake in the abyssal ocean. Also, the reduction of the northern-hemisphere  
21 meridional heat flux (that occurs in a warmer world because of a weakening of MOC) increases  
22 with resolution. Consequently, the cooling tendency near the polar edge of the subtropical gyre is  
23 most significant in the eddy-resolving model.

## 24 **1. Introduction**

25 Because of its large specific heat capacity the ocean is an immense reservoir of heat<sup>1</sup> and more  
26 than 80% of the total increase of heat content in the Earth system between 1955 – 1998 resides in the  
27 ocean (Levitus et al. 2005). The associated heat uptake is crucial in regulating and delaying global  
28 climate changes and has strong impacts on the sea-level rise that occurs from thermal expansion.

29 Greenhouse gases, such as carbon dioxide and methane, are well mixed in the atmosphere, but  
30 the distribution of the oceanic warming has been found to be rather non-uniform (Levitus et al.  
31 2005, 2000). It has been suggested that changes in ocean circulation are significant in producing  
32 the spatial pattern of ocean heat uptake (Banks and Gregory 2006; Xie and Vallis 2011). Indeed,  
33 the oceanic meridional overturning circulation (MOC) has been found to slow down in a warming  
34 climate in a number of models (Gregory et al. 2005; Stouffer et al. 2006a) and (albeit with much  
35 more uncertainty) in observations (Bryden et al. 2005), and this reduction seems very likely to affect  
36 heat uptake by the ocean (Gregory 2000; Röhlemann et al. 2004). More recently, by comparing  
37 the response to increasing  $CO_2$  in two pairs of climate models, Rugenstein et al. (2013) suggested  
38 that models with a stronger MOC in control climate may have a stronger heat uptake in warming  
39 scenarios and possibly a greater reduction of the MOC.

40 Despite all these efforts, our understanding of the subject is far from complete. Indeed, even the  
41 basic mechanism driving heat uptake remains obscure. For example, it is not clear which factor,  
42 the rate of the MOC in the control climate, its reduction in a warmer world, or both, principally  
43 determines the rate of heat uptake. Although the factors that determine the strength of the MOC  
44 (such as the diapycnal diffusivity, mesoscale eddy activity and the winds over the Southern Ocean)  
45 are now becoming much better understood (e.g., Gnanadesikan 1999; Nikurashin and Vallis 2011,  
46 2012), whether and how these same factors affect the distribution of heat uptake remain open  
47 questions. Mesoscale eddies are certainly important in regions like the Antarctic Circumpolar  
48 Current (ACC) (Speer et al. 2000; Henning and Vallis 2005; Radko and Marshall 2006) and the  
49 high-latitude convection sites (Spall 2004; Visbeck et al. 1997; Marshall and Schott 1999), but seem

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<sup>1</sup>We use the word ‘heat’ as a convenient shorthand for internal energy.

50 to be likely less important in the center of gyres.

51 Interplay among the eddy transfer in the ACC and other elements such as the Southern Ocean  
52 westerlies, may play, through maintaining the MOC and producing deep ocean stratification  
53 (Gnanadesikan 1999; Wolfe and Cessi 2010, 2011; Nikurashin and Vallis 2012), a key role in  
54 ocean heat uptake. However, typical models in current climate research have fairly coarse reso-  
55 lutions with a grid size comparable to or bigger than the deformation radius, and must rely on a  
56 parameterization for representing eddy effects. The ocean heat uptake resolved from these models is  
57 therefore model dependent the vertical distribution of ocean heat uptake may be quite sensitive to the  
58 parameterized along-isopycnal eddy mixing (Huang et al. 2003). As computer resources increase  
59 ocean climate models are becoming ‘eddy permitting’, potentially partly resolving baroclinic eddies.  
60 The effects of resolution on heat uptake in the model ocean then becomes a question of great  
61 importance. In addition to ocean circulation, other mechanisms are also likely to be important for  
62 ocean heat uptake. For example, it was found in a model study that freshening from changes in ice  
63 will make surface water more stable, leading to weakening of the convection that is important in the  
64 deep ocean warming in the Southern Ocean (Bitz et al. 2006).

65 Among all these aforementioned issues, in this paper we are concerned with the response of the  
66 ocean circulation in a warming climate and its relation to ocean heat uptake. Certainly other effects  
67 may be important, but in order to focus on oceanic processes we use an ocean-only circulation  
68 model with an idealized configuration. We will show, with idealized experiments with surface  
69 warming in different regions, that there are two main oceanic mechanisms driving the heat uptake:  
70 one is tied to MOC and the other is related to Ekman pumping into the ventilated thermocline.  
71 Which mechanism is dominant depends on the geographical distribution of the surface warming.  
72 Heat uptake driven by these two mechanisms differ notably in many aspects such as strength, spatial  
73 pattern, and time scale. We investigate the ocean responses to surface warming in coarse-resolution  
74 ( $2^\circ$ ), eddy-permitting ( $0.25^\circ$ ) and eddy-resolving models ( $0.125^\circ$ ). In the course-resolution model  
75 we use a standard parameterization for mesoscale eddies, but have no parameterization in the other  
76 two models. Model resolution is found to be important. Thus, for example, in the eddy-permitting

77 model the isopycnals in the Southern Ocean are too steep and the lower MOC cell is correspondingly  
78 too weak, leading to a smaller heat uptake into the abyssal ocean compared to the eddy resolving  
79 model. We also find that the near surface cooling tendency around the polar edge of the subtropical  
80 gyre is strongest in the highest resolution model.

81 The paper is organized as follows. We first briefly describe the observations and current theory  
82 for the MOC in Section 2. An outline of the model and the experiments is given in Section 3. The  
83 mechanisms of ocean heat uptake are discussed using experiments with regional warming in the  
84 coarse-resolution model in Section 4. The ocean responses to uniform (i.e., uniform increase of  
85 restore temperature everywhere) warming in models with different resolutions are discussed and  
86 compared in Section 5. The sensitivity of heat uptake to diapycnal diffusivity and Southern Ocean  
87 westerlies is investigated in Section 6, followed by a conclusion in Section 7.

## 88 **2. Meridional Overturning Circulation**

89 The meridional overturning circulation (MOC) consists of a small number of cells each associ-  
90 ated with water masses at different depths. Dominant are two inter-hemispheric, counter-rotating  
91 meridional cells below the main pycnocline (Fig. 1). One of the cells is at mid-depth, associated  
92 with isopycnals outcropping in both Northern Hemisphere and the ACC, and the other is an abyssal  
93 cell related to isopycnals ventilating only in the ACC or southwards of it. We henceforth call these  
94 the upper and lower MOC cell respectively. In the Atlantic Ocean, the northern branch of upper cell  
95 consists of sinking water forming North Atlantic Deep Water (NADW), followed by some upwelling  
96 at lower latitudes enabled by diapycnal mixing as well as a more adiabatic upwelling along sloping  
97 isopycnals in the ACC. The lower cell is composed of the sinking of Antarctic Bottom Water  
98 (AABW) around Antarctic and diapycnal mixing that is usually weak but is intensified above rough  
99 topography. Isopycnals outside the convection and outcropping regions are nearly flat, maintained  
100 by diffusion of heat from above and advection via diapycnal mixing from below. Between the two  
101 cells exists a thermostat, a weakly stratified water mass. Apart from the two global cells, there are

102 two smaller cells above the main pycnocline, in association with wind-driven subtropical gyres.

103 Within the transformed Eulerian mean framework (Andrews and McIntyre 1976), the overturning  
104 circulation, especially in the ACC region, is the ‘residual’ of a mean Eulerian circulation  $\bar{\psi}$  and  
105 an eddy-driven overturning circulation  $\psi^*$ . Away from the region of the ACC, the eddy effects are  
106 thought to be generally small and the MOC is effectively just the Eulerian circulation, whereas in  
107 the ACC the two components do cancel to some degree. The Eulerian circulation, induced by the  
108 surface westerly winds, is proportional to  $-\tau_s/f$  where  $\tau_s$  is the westerly wind stress and  $f$  is the  
109 Coriolis parameter. The eddy-induced circulation is induced by eddies spawned from baroclinic  
110 instability of sloping isopycnals; it acts to slump the isopycnals and counteracts the overturning  
111 tendency of  $\bar{\psi}$ . In coarse-resolution models,  $\psi^*$  is typically parameterized as  $K_e s$  where  $K_e$  is the  
112 eddy diffusivity of isopycnal thickness and  $s = -\partial_y \bar{b} / \partial_z \bar{b}$  denotes the slope of buoyancy surface. A  
113 theory of the structure and amplitude of the two cells was recently presented by Nikurashin and  
114 Vallis (2012), and one aspect of that theory will be particularly relevant for us. The upper cell is  
115 driven by a combination of Southern Ocean winds and diapycnal mixing, whereas the lower cell is  
116 primarily mixing driven. The strength of the mixing depends in part on the spacing of the isopycnals,  
117 and so on their steepness in the ACC region. If the mesoscale eddies are not parameterized or are  
118 poorly resolved the isopycnals will be too steep and the isopycnals spread too far apart; the mixing  
119 and consequently the MOC strength will be too low and the heat uptake into the abyss may be too  
120 weak.

### 121 **3. Model Formulation and Experiment Design**

#### 122 *a. Model Set-up*

123 We use the Modular Ocean Model (MOM) version 4 (Griffies et al. 2004) with spherical  
124 coordinates and configure it as an idealized representation of the Atlantic Ocean, similar to various  
125 studies of the steady state MOC and ocean stratification (Vallis 2000; Nikurashin and Vallis 2011,  
126 2012; Wolfe and Cessi 2010, 2011), the oceanic transient response to changing atmospheric winds

127 (Jones et al. 2011), and the response of the MOC to changes in wind and buoyancy forcing in an  
128 eddy-permitting model (Shakespeare and Hogg 2012). The model domain is an inter-hemispheric  
129 basin with 48° zonal width extending from 75°S to 75°N. A 10°-wide zonal channel is opened  
130 between 65°-55° in the southern hemisphere to represent the Antarctic Circumpolar Current. There  
131 are 36 levels in the vertical over an ocean depth of 4000 m, with layer thickness increasing from 10  
132 m to 300 m. The model ocean is flat-bottomed everywhere, except near the two zonal walls where  
133 continental slopes with 8° width extending from about 800 m below the surface to the bottom. We  
134 acknowledge that the bathymetry in Drake Passage plays an important role in ACC dynamics itself  
135 in particular its zonal transport and larger-scale ocean circulation. Without bottom topography in  
136 the latitudes of the open channel, no zonal pressure gradient can be supported and the geostrophic  
137 constraint prevents efficient meridional spreading of the flow in the deep ocean, which may lead  
138 to excessively weak lower MOC cell and its trapping near the southern boundary (Vallis 2000),  
139 especially in the presence of weak eddy effects or strong surface westerlies. However, in the presence  
140 of mesoscale eddies the differences in the MOC between flat-bottomed and topographic simulations  
141 are much smaller (Henning and Vallis 2005), and so as the first step towards an understanding of the  
142 basic dynamics of ocean heat uptake we do not consider any topography in the channel throughout  
143 the paper.

144 We use three versions of the model with the same configuration but different horizontal resolu-  
145 tions: a 2° coarse-resolution model, a 0.25° eddy-permitting model and a 0.125° eddy-resolving  
146 model. Momentum is dissipated by horizontal Laplacian viscosity, vertical viscosity, and quadratic  
147 bottom drag with coefficients  $A_h = 1.0 \times 10^5 \text{ m}^2 \text{ s}^{-1}$ ,  $A_v = 1.0 \times 10^{-3} \text{ m}^2 \text{ s}^{-1}$  and  $C_d = 1.0 \times 10^{-3}$ .  
148 In both eddy-permitting and eddy-resolving models, the Laplacian viscosity is replaced with bihar-  
149 monic friction with a Smagorinsky viscosity (Griffies and Hallberg 2000). The vertical diffusivity,  
150  $\kappa$ , is set constant through the water column. The effects of eddies in the coarse-resolution model  
151 is parameterized with a Gent–McWilliams-like scheme, specifically with a vertically non-local  
152 eddy-induced transport streamfunction for each ocean column (Ferrari et al. 2010), and the mixing  
153 coefficient  $\kappa_e$  is set as  $500 \text{ m}^2 \text{ s}^{-1}$ . No parameterization for sub-grid isopycnal eddy mixing is used

154 in the two high-resolution models.

155 We use a linear equation of state and assume salinity is constant and equal to 35 psu; that is,  
156 buoyancy is a linear function of temperature. Surface buoyancy forcing  $Q$  is given by a relaxation  
157 to a fixed temperature profile  $T^*(y)$  at the top grid box (Fig. 2b):

$$158 \quad Q = -\lambda(T_s - T^*), \quad (1)$$

159 where  $T_s$  is the ocean surface temperature and  $\lambda$  is the relaxation coefficient set at  $16 \text{ W m}^2 \text{ K}^{-1}$   
160 corresponding to a restoring time scale of 30 days. The model ocean is also driven at the surface  
161 by a latitude-dependent zonal wind stress (Fig. 2a), and its maximum amplitude in the southern  
162 ocean is near the equator-ward flank of the channel and is denoted as  $\tau_s$ . The various simulations  
163 discussed in the paper explore the effects two key physical factors, the vertical diffusivity  $\kappa$ , and the  
164 Southern Ocean wind  $\tau_s$ , as well the model resolution and the effects of mesoscale eddies.

### 165 *b. Experiment design*

166 Multiple sets of runs are carried out with the coarse resolution model. Each set consists of a  
167 control climate simulation (CTL henceforth), representing the steady state climate with a distribution  
168 of  $T^*$  that is the same for all sets, and several warming climate simulations. In CTL, the model is  
169 integrated for 4800 years with constant  $(\kappa, T^*, \tau_s)$ . Starting from year 4000, warming experiments  
170 are integrated in which the restoring temperature,  $T^*$ , is increased instantly, either regionally or  
171 globally with uniform amplitude, and kept fixed for 800 years. The difference between the warming  
172 experiment and the final 800 years of CTL is referred to as the change induced by the climate  
173 warming. Four experiments with perturbed  $T^*$  are considered. In experiments WN (warming  
174 at northern high latitudes), WS (warming at southern high latitudes), and WM (warming at low  
175 and middle latitudes), regional warming is considered by enhancing the restoring temperature at  
176 latitudinal bands of  $55^\circ\text{-}75^\circ\text{N}$ ,  $55^\circ\text{-}75^\circ\text{S}$ , and  $50^\circ\text{S-}50^\circ\text{N}$ . The uniform warming case is investigated  
177 in experiment W, where  $T^*$  is increased everywhere by  $2^\circ\text{C}$ .

178 In our exploration of the basic mechanisms driving ocean heat uptake in Section 4 using a

179 relatively coarse model, we set  $\kappa = 0.5 \times 10^{-5} m^2 s^{-1}$  and  $\tau_s = 0.2 Nm^{-2}$ . The small value of  
180  $\kappa$  corresponds to a nearly adiabatic ocean interior that helps to isolate dynamic processes other  
181 than thermal diffusion in driving heat uptake. For the high-resolution runs we describe just  
182 one experiment, one with uniform warming denoted W. The vertical diffusivity is now set at  
183 the value closer to that in the real ocean,  $\kappa = 4 \times 10^{-5} m^2 s^{-1}$  and the southern ocean westerlies  
184 is  $\tau_s = 0.2 Nm^{-2}$ . The eddy-permitting model is interpolated from a coarse-resolution model  
185 integration with identical  $(\kappa, \tau_s)$  and integrated for 500 years, from where the eddy-resolving model  
186 is initialized and integrated for an addition 250 years. The warming experiments are carried out in  
187 the last 100 years in both models.

188 The sensitivity of the model ocean to diapycnal mixing and Southern Ocean wind is explored  
189 by varying  $\kappa$  and  $\tau_s$  in two sets of runs in the coarse-resolution model. In the first set,  $\tau_s$  is  
190 prescribed at  $0.2 Nm^{-2}$  while  $\kappa$  is set at  $0.1 \times 10^{-5}$ ,  $0.5 \times 10^{-5}$ ,  $1 \times 10^{-5}$ ,  $2 \times 10^{-5}$ ,  $3 \times 10^{-5}$ ,  $4 \times 10^{-5}$ ,  
191  $6 \times 10^{-5}$ ,  $10 \times 10^{-5}$ , and  $20 \times 10^{-5} m^2 s^{-1}$ . In the second set,  $\tau_s$  is set at 0.025, 0.05, 0.1, 0.2, 0.3  
192 and  $0.4 Nm^{-2}$ ; a slightly low vertical diffusivity ( $\kappa = 2 \times 10^{-5} m^2 s^{-1}$ ) is chosen, so that different  
193 water masses in association with upper and lower MOC cell are more distinctive, which makes it  
194 easier to discern effects of changing wind stress in affecting each water mass and the associated  
195 heat uptake.

196 In what follows, we will first isolate the different mechanisms of heat uptake using regional  
197 warming experiments with the coarse-resolution model, then investigate similarity and difference  
198 among models with different resolutions. Finally, the effects of diapycnal diffusivity and Southern  
199 Ocean wind on ocean heat uptake are explored with the coarse-resolution model. In figures showing  
200 the time evolution of various quantities, the time axis starts at the beginning of the warming  
201 experiments rather than the beginning of the control climate simulation.

## 202 **4. Mechanisms governing ocean heat uptake**

### 203 *a. Equilibrium structure*

204 In equilibrium, the ocean stratification is characterized by three thermoclines that are readily  
205 identified as three local maxima of temperature's vertical gradient near the polar end of the subtropi-  
206 cal gyre (Figs. 3a and 3b). The near surface maxima of stratification is coincident with isopycnals  
207 outcropping in the subtropical gyre, demonstrating the existence of a ventilated thermocline (Luyten  
208 et al. 1984) where ocean dynamics can be well described by adiabatic and inviscid assumptions.  
209 Below it, an internal thermocline resides in the upper ocean, in conjunction with isopycnals out-  
210 cropping in both the northern and southern hemisphere (Samelson and Vallis 1997). The deep  
211 thermocline, as illustrated by the third local maxima that is related to isopycnals outcropping in  
212 the southern hemisphere, is a consequence of the combined effects of the zonal channel and the  
213 surface buoyancy gradient across it (Vallis 2000). Corresponding to these three thermoclines are the  
214 shallow, upper and lower meridional overturning cells (Fig.3c), as described in Section 2. Water  
215 parcels within the temperature range shared between the northern and southern hemisphere are  
216 transported along the upper MOC cell essentially adiabatically in ocean interior. Water parcels with  
217 even colder temperature are carried along the lower cell counter-clockwise in the meridional plane.

### 218 *b. Ocean responses in regional warming experiments WM, WN, and WS*

219 Based on ocean responses in the three experiments with regional warming, we can distinguish  
220 two distinct processes of ocean heat uptake that are governed by different mechanisms and are  
221 significantly different in penetration depth and time scale. With surface warming just in subtropical  
222 gyres, as in experiment WM, water parcels with higher temperature leave the ocean surface as  
223 pushed down by Ekman pumping and then quasi adiabatically circle along the ventilated thermocline.  
224 In the limit of weak diapycnal mixing as in Figs. 4 and 5 where  $\kappa = 0.5 \times 10^{-5} m^2 s^{-1}$ , downward  
225 diffusion of heat across the base of the main thermocline is negligible, so heat is quickly built  
226 up within the thermocline till it reaches equilibrium with the surface restoring temperature. The

227 associated time scale is only a few decades, after which little heat is absorbed into the ocean (the  
228 solid line in Fig. 5a). As to the vertical distribution, the magnitude of heat anomaly falls quickly  
229 from the surface to nearly zero over about a few hundred meters, leaving the immense deep ocean  
230 nearly intact (the solid line in Fig. 5b).

231 In contrast, under surface warming in high latitudes as in WN and WS, heat is taken up below  
232 the main thermocline, in the internal (WN) and deep thermocline (WS), over a time scale of a  
233 thousand years (Figs. 4 and 5). Meridionally, It extends beyond the forcing region from high to low  
234 latitudes. Although the perturbation of surface buoyancy forcing in the three experiments are of  
235 similar magnitudes, changes of ocean heat content in WN and WS far exceed that in WM by the  
236 end of the integration. In other words, the ocean is potentially more capable of taking up heat with  
237 warming at high latitudes. The driving mechanism is fundamentally related to the upper and lower  
238 MOC cells.

239 Under surface warming in northern high latitudes, temperature of the cold, deep water formed  
240 by convection is enhanced. Some isotherms, previously within the upper MOC cell, such as 5°C  
241 isotherm, is flattened near the northern boundary and become isolated from the atmosphere (Fig.  
242 6a). No water is convected to them any longer, but in the channel water parcels on these isotherms  
243 continue to be sucked to the surface nearly adiabatically. They are subsequently carried northward  
244 by the upper limb of MOC, and are finally transferred diabatically to a temperature higher than  
245 it has upon arriving at the surface in the channel, due to the surface warming. As a consequence,  
246 the volume of the thermostat between temperature 4-5°C declines over time, while the volume of  
247 water masses with higher temperatures, such as 6-7°C, is increased (Fig. 6a). Mass is redistributed  
248 from cold to warmer water, as shown clearly in Fig. 6b, and the upper MOC cell is warmed up  
249 with a peak of temperature change centered around the depth of the maximum strength of MOC  
250 (Fig. 5b). A central point for this process is a mismatch between the southern circumpolar channel  
251 and the convection region in the northern hemisphere. That is, some isopycnals outcropping in  
252 both the channel and the northern hemisphere become isolated from the atmosphere in northern  
253 high latitudes due to weakening of convection; water parcels upwelled along these isopycnals

254 to the surface of the channel are carried northward, and transferred to water masses with higher  
255 temperatures than in CTL.

256 With the surface forcing applied instantly and kept fixed through 800 years, the maximum  
257 strength of the MOC falls quickly in a couple of decades (Fig. 7a), and the amplitude of the  
258 reduction is proportional to the strength of the surface warming (not shown). This initial reduction  
259 is followed by a slow recovery over a few hundred years to a new steady state corresponding to  
260 the new  $T^*$ . Presumably, the strength of MOC at this new steady state may not be necessarily  
261 lower than the CTL value depending on the structure of the new  $T^*$ , i.e., its meridional gradient.  
262 The strength of MOC within the channel, however, is determined by local isopycnal structures  
263 and the westerlies. As isotherms are lowered down in the closed basin, they become steepened in  
264 the channel as their outcropping latitudes are mostly fixed at the surface. In the coarse resolution  
265 model, the steepening of isotherms in the channel directly leads to the strengthening of eddy-driven  
266 isopycnal transport, by GM parameterization, and hence weakening of the upper MOC cell (Fig.  
267 7b). It is found to decline continuously over a time scale of 1000 years till the heat uptake ceases.  
268 Affected by these isotherms, isotherms of the lower MOC cell are also steepened in the channel,  
269 and the lower MOC cell is strengthened (Fig. 7b).

270 A cooling tendency is noticed in the lower MOC cell while the upper MOC cell warms up. For  
271 example, in Fig. 6a, the  $3^\circ$  and  $4^\circ$  isopycnals are slightly raised up, whereas the  $2^\circ$  isopycnal remains  
272 unchanged, suggesting a regional cooling tendency that is also noticeable as a negative bump in the  
273 vertical distribution of temperature change (the dashed line in Fig. 5b). This tendency is consistent  
274 with changes of water masses, as indicated by Fig. 6b: the volume of water colder than about  $3^\circ$   
275 is increased, along with a reduction of the water volume with temperature between 3 and  $4^\circ$ . One  
276 explanation for these shifts is as follows. With the continued weakening of the upper MOC cell,  
277 some water parcels previously flowing along the upper MOC cell start to circulate along the lower  
278 MOC cell at some point. Then they begin to be carried southward, instead of northward, after being  
279 upwelled to the surface in the channel, transferred to lower temperature and convected to depth.  
280 This is a process opposite to the process described for warming in the upper MOC cell, and leads to

281 heat loss to the atmosphere.

282 Under the surface warming in southern high latitudes (WS), the coldest surface temperature is  
283 increased and isotherms ventilated within that zonal band intersect the surface further southward.  
284 Along isotherms in the lower MOC cell, cold water upwelled to the surface is warmed and then  
285 convected to the abyss. Volume is therefore redistributed from lower to higher temperatures (Fig.  
286 6c). The warming tendency starts from the abyss near the southern boundary and spreads northward  
287 along isotherms. Some of the isotherms in the upper MOC cell are also within the heating area,  
288 so ocean heat content is also observed to increase in the upper MOC cell as a little bump in the  
289 vertical distribution plot (the dash-dot line in Fig. 5b). As isopycnals' outcropping latitudes move  
290 southward, the isopycnal slopes become flatter. Correspondingly, the strength of the lower MOC  
291 cell is reduced (Fig. 7c).

### 292 *c. Relation between WM and WN*

293 The heat uptake in the ventilated thermocline and in the deep ocean are also connected due  
294 to ocean meridional transport, and is readily seen in the experiment WM of a case with  $\kappa =$   
295  $2 \times 10^{-5} m^2 s^{-1}$  and  $\tau_s = 0.2 Nm^{-2}$ , where the strength of upper MOC is much greater than what is  
296 previously discussed due to the enhanced diapycnal diffusion. With higher diapycnal diffusivity,  
297 heat can be diffused more effectively across the base of the main thermocline. Meanwhile heat  
298 absorbed in tropics and subtropics is carried northward by ocean circulation. Once the surface is  
299 warmed up at the northern high latitude convection region, the same process as in WN takes place.  
300 This explains why in Fig. 8, heat uptake also occurs below the main thermocline and within the  
301 upper MOC cell, extending southward. The total ocean heat content, instead of increasing quickly  
302 within about 10 years as in the low diffusivity case (Fig. 5a), increases more slowly over 1000 years  
303 as determined by the second mechanism (not shown).

304 Overall, then, there are two mechanisms driving ocean heat uptake: one that applies to the  
305 ventilated thermocline and one that applies to the ocean at mid-depth and in the abyss. Heat enters  
306 the ocean through Ekman pumping for the first mechanism. For the latter, water parcels in the deep

307 ocean are carried to the surface in their circuit along the two MOC cells and are warmed before they  
308 are convected to depth. Both the penetration depth and the magnitude of the total heat uptake are  
309 much greater in the second process. In all these experiments with regional warming, the amplitude  
310 of the perturbation determines the strength of ocean response and heat uptake, so it is very likely  
311 that the temperature change at the mid-depth or in the abyssal ocean is larger than that in the upper  
312 ocean, in response to a geographic distribution of surface warming with greater amplitude at high  
313 latitudes.

## 314 **5. Responses to uniform warming in low- and high-resolution** 315 **models**

316 Under the uniform warming, the upper, mid-depth and abyssal ocean take up heat simultaneously  
317 through different mechanisms as discussed in Section 4. Temperature changes in low and high-  
318 resolution models share the similar pattern (Fig. 9). For example, the amplitude of temperature  
319 change fall with depth in the closed basin, but is greater in the abyss near the southern boundary.  
320 This is due to the northward and downward extension of heat along the lower MOC cell as in  
321 WS (Figs. 4d and 4e). Although the surface buoyancy perturbation is uniform, the ocean heat  
322 uptake shows latitudinal dependence: the column inventory is somewhat uniform in low and middle  
323 latitudes, but is characterized by a strong warming in the southern channel and weak warming or  
324 cooling tendency around the polar edge of the subtropical gyre in the northern hemisphere (Fig.  
325 10a). The horizontally-mean heat uptake is strongest near the surface and declines with depth (Fig.  
326 10b).

327 The ocean circulation also responds similarly in the three models. The maximum overturning  
328 circulation, found in northern high latitudes, first quickly declines and then slowly recovers over  
329 a 100-year time scale (Fig. 11a), as in experiment WN discussed in Section 4. However in the  
330 channel, the upper MOC cell strengthens, along with the weakening of the lower cell (Figs. 11b  
331 and 11c). In the coarse resolution model, these changes of MOC imply the slumping of isopycnals,

332 caused by their southward migration of outcropping latitudes and leading to the weakening of the  
 333 parameterized eddy-driven transport, strengthening of upper MOC as well as weakening of the lower  
 334 MOC. Isopycnal steepness, calculated as  $-T_y/T_z$ , is also found to decrease in high resolution models  
 335 over most of the area within the channel (not shown), which is consistent with the coarse-resolution  
 336 model results.

337 Despite the similarities, there are two important differences between the models with different  
 338 resolutions. First, the warming amplitude around  $50^\circ\text{N}$  is smallest in the highest resolution model  
 339 and biggest in the coarse resolution model. This is noticeable in Fig. 9 and is more remarkable in  
 340 the latitudinal distribution of temperature change (Fig. 10a). Second, warming near the southern  
 341 boundary and in the abyssal ocean is very weak in the eddy-permitting model, much weaker than  
 342 the coarse-resolution and eddy-resolving model (Figs. 9 and 10). The rest of the section will focus  
 343 on these two issues.

344 It is important to remember that neither the  $0.25^\circ$  nor the  $0.125^\circ$  model uses any parameterization  
 345 scheme for effects of sub-grid-scale eddies, and whether eddy effects can be sufficiently resolved is  
 346 critical for dynamics within the southern channel where the eddy-driven meridional transport has  
 347 an order one influence on the dynamics by counteracting the mean forced by the westerlies. The  
 348 vertically and zonally integrated eddy heat flux,  $[\overline{V'T'}]$  can be regarded as a measure of strength of  
 349 eddy effects, and is calculated as the difference between the total advective flux  $[\overline{VT}]$  and the flux  
 350 by the mean flow  $[\overline{V}\overline{T}]$ :

$$[\overline{VT}] = \int_{-h}^0 dz \int_{x_w}^{x_e} dx \overline{V(x, y, z, t) T(x, y, z, t)}, \quad (2)$$

$$[\overline{V}\overline{T}] = \int_{-h}^0 dz \int_{x_w}^{x_e} dx \overline{V(x, y, z, t)} \overline{T(x, y, z, t)}, \quad (3)$$

352 where bars denote the average over the last 10 years,  $-h$  is the value of  $z$  at the ocean bottom,  $x_w$   
 353 and  $x_e$  denote locations of the western and eastern boundaries. Figure 12 shows eddy heat fluxes  
 354 of the two high resolution models in CTL (panel a) and their changes in experiment W (panel b).  
 355 To have a better comparison of the role of eddies role in the two models, the eddy-driven heat flux  
 356 in each model is normalized by the maximum strength of the total heat flux over all latitudes. In

357 both models, the eddy contribution is negligible compared with the mean flow except within the  
358 channel where the two have comparable strength with opposite signs. In comparison with the  $0.25^\circ$   
359 model, the  $0.125^\circ$  model has a much greater contribution from eddies both within and out of the  
360 channel. The stronger southward eddy transport in the channel is more powerful in slumping the  
361 isopycnals down, raising isopycnals in the closed basin by as much as 500 m from their levels in  
362 the  $0.25^\circ$  model (Fig. 13). Consequently, the lower MOC cell spans a greater depth range with  
363 greater amplitude in the model with the highest resolution (Fig. 14). Under surface warming in  
364 the southern high latitudes, stronger circulation along the lower MOC cell means water parcels in  
365 the abyss are more quickly brought upward to the surface to be warmed, causing stronger warming  
366 tendency in the lower MOC cell near the ocean bottom.

367 Under the uniform warming, the eddy-driven heat flux is found to be weakened in most of the  
368 area within the channel with greater reduction in the  $0.125^\circ$  model, consistent with the slumping of  
369 isopycnals, strengthening of the upper MOC cell and weakening of the lower MOC cell (Figs. 11b  
370 and 11c).

371 Though the feature of excessively low warming amplitude (or a strong cooling tendency) around  
372  $50^\circ\text{N}$  near the ocean surface is absent in the coarse resolution model between year 90-100 (Fig.  
373 9a), it is remarkable in the experiment WN where the surface warming is only applied at northern  
374 high latitudes (Fig. 4c). It is also one of the most obvious features in ocean response to warming  
375 climate in both a simplified ocean circulation model (Xie and Vallis 2011) and a more complicated  
376 atmosphere-ocean-ice coupled model (Stouffer et al. 2006b). As suggested by Xie and Vallis (2011),  
377 redistribution of existing heat reservoir by ocean circulation change is the main contributor to this  
378 cooling tendency. As shown in Fig. 15a, under the surface warming, the northward meridional  
379 heat transport in the northern hemisphere is reduced, presumably resulting from the weakening of  
380 the upper MOC cell. This reduction strengthens significantly from low- to high-resolution models,  
381 consistent with Fig. 15b where the reduction of MOC averaged between  $40^\circ$  -  $50^\circ$  N is stronger  
382 in the high-resolution model except during the first 20 years. It demonstrates the causal relation  
383 between the MOC reduction and the near surface cooling in northern high latitude.

384 Overall, the coarse- and the two high-resolution models generate qualitatively similar results  
385 of ocean heat uptake and ocean circulation changes under the uniform warming. The change of  
386 the ocean's total heat content within 100 years in the three models differ by less than 12%, owing  
387 mostly to the difference of the lower MOC cell. Because of the stronger eddy effects, the  $0.125^\circ$   
388 model has the strongest lower MOC cell and hence largest change of ocean heat content. It also has  
389 the most significant changes of ocean circulation and eddy heat flux. The eddy-permitting model, in  
390 the absence of any parameterization scheme for eddy mixing, has insufficient eddy flux to counter  
391 wind-driven mean circulation in the circumpolar channel, leading to steeper isopycnals and weaker  
392 lower MOC cell.

## 393 **6. Effects of Diapycnal mixing and Southern Ocean wind**

### 394 *a. The equilibrium state*

395 The diapycnal mixing and westerlies in the southern circumpolar channel are essential for  
396 maintaining the overturning circulation and deep stratification in the ocean (Vallis 2000; Nikurashin  
397 and Vallis 2012). Since 1970s, the winds over the Southern Ocean have been undergoing a poleward  
398 intensification (Thompson and Solomon 2002), and it has been suggested that such shifts may have  
399 significant impacts on ocean heat and carbon uptake (Russell et al. 2006; Fyfe et al. 2007). In this  
400 section, the effects of the southern ocean wind and diapycnal mixing will be investigated with our  
401 idealized ocean model.

402 Before trying to understand the sensitivity of the transient response to  $\kappa$  and  $\tau_s$ , we first look  
403 at their influence on the equilibrium state. Diapycnal mixing offers one mechanism, albeit a weak  
404 one given the magnitude of diffusivity in the present climate ocean, governed by the advective-  
405 diffusive balance, to raise the cold water in the ocean interior and balance sinking at high latitude  
406 convection regions. In the limit of weak diffusivity, the three thermoclines are well separated by  
407 two thermostads with fairly large volume and very weak stratification (Fig. 16a). As  $\kappa$  increases,  
408 boundaries of those thermoclines become ambiguous (Fig. 16b) and the maximum stratification

409 declines for both MOC cells (Fig. 16c). All isopycnals are brought down in the closed basin and  
410 the deep ocean is filled with water of higher temperature. The upper MOC cell expands in both  
411 depth and temperature range; the lower MOC cell is squeezed, not as much as the expansion of the  
412 upper cell, leading to a reduction of the volume of the thermostat between the two cells (Fig. 16b).

413 In the circumpolar channel, the westerlies provide another route for the upwelling leg of MOC:  
414 cold, deep water is upwelled adiabatically along isopycnals by convergence. They exert a strong  
415 influence on the rate of MOC and deep stratification by pushing down isopycnals in the channel.  
416 With stronger westerlies, the deep ocean also becomes warmer, similar to the response to increasing  
417  $\kappa$ . A major difference between changing  $\kappa$  and changing  $\tau_s$  is the former is a global effect at all  
418 levels, whereas the latter acts on part of isopycnals. Although both MOC cells have isopycnals  
419 outcropping in the channel, the effects of changing wind on them may differ in strength depending  
420 on the actual meridional structure of  $\tau_s$ . In Fig. 17c, the downward expansion of the upper MOC cell  
421 is not as remarkable as for changing  $\kappa$ . In some contrast, the lower MOC cell is strongly affected:  
422 as isopycnals are made more steeper under strong wind, the lower MOC cell is lowered down and  
423 squeezed in the depth range. When  $\tau_s$  is twice the magnitude used in the reference case, the lower  
424 MOC cell only exists in a small region near the southern boundary, causing the thermostat between  
425 the two MOC cells to expand in size (Figs. 17a and 17b).

426 Based on our understanding of the driving mechanism for deep ocean heat uptake in the reference  
427 case and the comparison among models with different resolutions, we believe the strength of MOC  
428 is a key factor determining the magnitude of heat absorption in the ocean. We find similar parameter  
429 dependence of MOC rates to previous analytical and modeling studies (Nikurashin and Vallis 2011,  
430 2012; Shakespeare and Hogg 2012). The rate of the upper MOC, as usually defined as the maximum  
431 overturning streamfunction, increases with both  $\kappa$  and  $\tau_s$  (Figs. 18a and 18c, circles). The rate of  
432 the lower MOC cell, defined as the mean lower cell overturning streamfunction outside the channel,  
433 increases with  $\kappa$  but changes inversely with the southern ocean wind (Figs. 18b and 18d, circles).

434 Inspired by the fact that the strength of MOC within the channel is more closely related to the  
435 heat uptake process in WN and its evolution is different from that of the maximum strength of MOC,

436 we also investigated MOC within the channel. Interestingly, the rate of the upper MOC cell within  
437 the channel declines with increasing  $\kappa$  (Fig. 18a, squares), opposite to the changing tendency of the  
438 *maximum* MOC strength. Moreover, under strengthening wind, the strength of the lower MOC in  
439 the channel first decreases in the low  $\tau_s$  regime and increases in the medium to strong wind regime  
440 (Fig. 18d, squares).

441 *b. The response to regional warming*

442 To find out how the eddy diffusivity and southern ocean westerlies affect the ocean heat uptake,  
443 changes of heat content in warming experiments with different  $\kappa$  or  $\tau_s$  are compared side by side  
444 for the entire integration period (800 years). For most cases, and unless otherwise stated, choosing  
445 a different time for comparison (for example up to year 400 instead of 800) does not lead to  
446 qualitatively different results.

447 Let us first look at dependence of ocean heat uptake on  $\kappa$  and  $\tau_s$  in regional warming experiments.  
448 As shown in Figs. 16c and 17c, changing  $\kappa$  or  $\tau_s$  does not have strong effects on the depth of the  
449 ventilated thermocline, which is about 150 m below the surface. The heat anomaly above this level  
450 under low- and mid-latitude warming is also insensitive to changing parameters (Figs. 19a and 20a,  
451 circles). This insensitivity is a manifestation of the fact that the ventilated thermocline is mostly  
452 controlled by the wind in subtropical gyres and its dynamics is nearly adiabatic and inviscid. At low  
453 diffusion limit, all the heat absorbed by the ocean is contained within the ventilated thermocline,  
454 but as diffusion becomes stronger, heat enters the deep ocean through diffusion across the base of  
455 the thermocline. Meanwhile, it is taken up by the same process discussed for WN after surface  
456 water in northern high latitudes is warmed by anomalous heat transported from low latitudes. The  
457 strength of this process is strongly affected by how much the ocean surface in high latitude region  
458 is warmed, which is influenced by the rate of the upper MOC cell in the northern hemisphere.  
459 Therefore, although the anomalous heat contained in the surface ocean remains nearly constant, the  
460 heat absorbed in the deep ocean increases greatly with the diffusivity (Fig. 19a, squares). Similar  
461 pattern is found for changing  $\tau_s$ : the surface ocean heat uptake is more or less constant, but the deep

462 ocean takes up more heat under stronger wind that greatly enhances the rate of the upper MOC cell  
463 (Fig. 20a, squares).

464 In experiments with northern high latitude warming, variations of the ocean heat uptake with  
465 changing parameters are found consistent with those of the upper MOC's strength in the channel but  
466 not in the northern hemisphere convection region. The heat uptake and the rate of the upper MOC  
467 cell in the channel remain at about the same level in very small  $\kappa$  regime, but decline for bigger  $\kappa$   
468 (Fig. 19b and Fig. 18a, squares). With increasing southern ocean wind, the uptake increases for low  
469 to medium value of  $\tau_s$ , and then stays constant for strong wind, again similar to the variation of  
470 MOC in the channel (Fig. 20b and Fig. 18c, squares). This is not a surprising result and is actually  
471 consistent with our understanding of WN in the reference case. As described in Section 4, the heat  
472 uptake with warming in northern high latitudes is due to a mismatch between the temperature of  
473 water formed in convection region and the temperature of water upwelled in the channel. After water  
474 parcels being pumped into the surface in the channel, they are transported northward, transferred  
475 to a warmer temperature upon arriving at the convection site. It is critical, as they are transported  
476 northward, to remain near or at the surface in order to obtain heat from the atmosphere through  
477 air-sea interactions. In addition to this 'surface' route along which water returns to the convection  
478 site, there is an 'interior' route where water parcels upwell across isopycnals through the advective-  
479 diffusive balance. The latter makes no or little direct contribution to heat absorption from the  
480 atmosphere since water parcels on this route are rarely ventilated. The maximum strength of the  
481 upper MOC is determined by both routes, therefore its variation is not closely tied to the heat uptake  
482 in WN.

483 For warming in southern high latitudes, the situation is a little complicated because not only  
484 the isotherms within the lower MOC cell, some isotherms in the upper MOC cell also outcrop in  
485 the warming area. Therefore, heat is built up in the lower as well as the upper MOC cell, although  
486 with a lower rate. Defining the lower MOC cell as the volume consisting of water parcels with  
487 temperature lower than the coldest temperature in the northern hemisphere in CTL, we calculated  
488 its heat uptake during the first 100 years, to avoid as little as possible heat built up in the upper

489 MOC cell, and found similar variation tendency to the rate of the lower MOC cell in the channel  
490 (Figs. 19c and 18b, Figs. 20c and 18d, squares). Although under strong wind such as  $\tau_s = 0.4Nm^{-2}$ ,  
491 isopycnals in association with the lower MOC cell are very steep and the lower MOC cell has  
492 a relatively narrow meridional range, the heat absorption in the southern ocean is strong due to  
493 strong lower MOC circulation in the channel. Although in our simulations the surface wind stress is  
494 constant for both control and warming climate simulations, it is presumably true that in response  
495 to transient, strengthening westerlies, the southern ocean heat uptake is also intensified due to the  
496 strengthening of the lower MOC cell in the channel, which is consistent with evaluations made by  
497 Russell et al. (2006).

498 *c. On responses to uniform warming*

499 Responses to uniform warming is the combined effects of different driving mechanisms of heat  
500 uptake. The heat uptake strengthens with increasing parameters except in the experiment WN  
501 where it has a declining tendency with increasing  $\kappa$ . This tendency for decrease can be easily  
502 overwhelmed by the tendency for increase in response to warming in the subtropics and in the  
503 southern ocean. Therefore, it is not surprising to see the heat content change under the uniform  
504 warming increases with both parameters. With increasing  $\kappa$ , the heat uptake stays around the  
505 same level in regime of very low diffusivity, and scales as  $\kappa^{1/3}$  for larger diffusivity (Fig. 21c).  
506 With strengthening southern ocean wind, the heat uptake increases and scales with  $\tau_s$  as  $\tau_s^{1/2}$  (Fig.  
507 22c). The change of heat content integrated through the whole water column increases with both  
508 parameters in all latitudes, with a much greater increasing rate in the southern ocean (Figs. 21a  
509 and 22a). As discussed in experiments with regional warming, the heat absorbed in the ventilated  
510 thermocline is quite insensitive to both parameters. The total heat absorption declines with depth,  
511 but the vertical gradient is reduced with increasing parameters (Figs. 21b and 22b).

## 512 **7. Discussion and Conclusions**

513 In this paper, we have identified two distinct mechanisms driving heat uptake in the ocean  
514 in response to an enhanced surface restore temperature. One is heat uptake into the ventilated  
515 thermocline driven by Ekman pumping in the subtropical region, and the other is heat uptake in  
516 the upper and lower MOC cells. The temperature increase by the first mechanism occurs in the  
517 thermocline over a time scale of a few decades, whereas that by the second mechanism occurs  
518 mostly at mid-depth and in the abyssal ocean over a time scale of centuries to millennia. The  
519 magnitude of heat uptake in the ventilated thermocline by the first mechanism is largely insensitive  
520 to the southern ocean westerlies and diapycnal mixing, while that by the second mechanism in the  
521 upper (lower) MOC cell is largely determined by the rate of the upper (lower) MOC cell in the  
522 channel, and hence is affected by both winds and mixing. In the case of warming with a spatially  
523 uniform amplitude, both mechanisms occur simultaneously and the resulting ocean heat uptake  
524 increases with both winds and mixing. In particular, the southern ocean takes up more heat when  
525 there are stronger westerlies.

526 Eddy processes in the channel are essential for the production of deep stratification and overturn-  
527 ing circulation of the entire ocean, but they are not sufficiently resolved in the  $0.25^\circ$ , eddy-permitting  
528 model. The weak eddy transport in the eddy-permitting model leads to steeper isopycnals, a deeper  
529 upper MOC cell, and a very weak lower MOC cell in the control climate (consistent with the theory  
530 of Nikurashin and Vallis 2012). As a result, the heat uptake in the abyssal ocean is much weaker  
531 in the eddy-permitting model than in the eddy resolving model. The ocean circulation changes in  
532 response to the uniform warming are of the same sign in both eddy-permitting and eddy-resolving  
533 models, with eddy transfer in the channel weakening owing to the slumping isopycnals driven by  
534 the surface warming, but the amplitude of the change is much greater in the eddy-resolving model.  
535 One consequence of this is that as coupled climate models move into an ocean-eddy permitting  
536 regime, care will evidently have to be taken when interpreting the results of ocean heat uptake.

537 Owing to the very idealized model configurations adopted in the study, there are some potential  
538 limitations for its application to the real ocean. One is that the surface boundary condition for

539 buoyancy in our experiments is a relaxation to a prescribed temperature whereas in the real ocean  
540 it is more complicated, with aspects of both a fixed-buoyancy-flux and a relaxation. With a fixed  
541 buoyancy flux at the surface the water-mass transformation rate within the mixed layer might be  
542 hard to change and the MOC might then be less sensitive to property changes in ocean interior, and  
543 some of our results might not hold as cleanly. Perhaps a more severe limitation is that in our study  
544 the buoyancy is only a function of temperature and we have neglected both saline and ice processes.  
545 Thus we are unable to separate the effects of salinity change and that of temperature change. Finally,  
546 our domain is very idealized and we have sidestepped the geographical and bathymetric complexity  
547 of the real ocean. In particular we have not include any bottom topography across the circumpolar  
548 channel, and this certainly will affect the strength of the ACC and, to a lesser extent, the strength  
549 and extent of the lower MOC cell and therefore heat uptake into the abyss.

550       Nevertheless, there is evidence for consistency between reality and our model simulations. For  
551 example, a recent observational study found a significant reduction in the AABW volume between  
552 1980s and 2000s, along with the descent of potential isotherms and heat uptake in both AABW and  
553 the entire ocean (Purkey and Johnson 2012). It was believed that this bottom water contraction was  
554 consistent with the reduction of the AABW formation rate and the slowing down of the bottom,  
555 southern limb of MOC, probably induced by the freshening of shelf waters in AABW formation  
556 regions in the Ross and Weddell Seas in recent decades (Aoki et al. 2005; Jacobs and Giulivi 2010;  
557 Hellmer et al. 2011). Similarly in our numerical simulations, in response to surface warming either  
558 only in the southern channel or in the global scale, the lower MOC cell in the channel slows down,  
559 along with the lowering of the associated isotherms. Therefore, idealized models, as the one used in  
560 the present study, though lack many probably relevant features as listed above, is a valuable tool in  
561 attempting to understand and predict ocean response to climate change.

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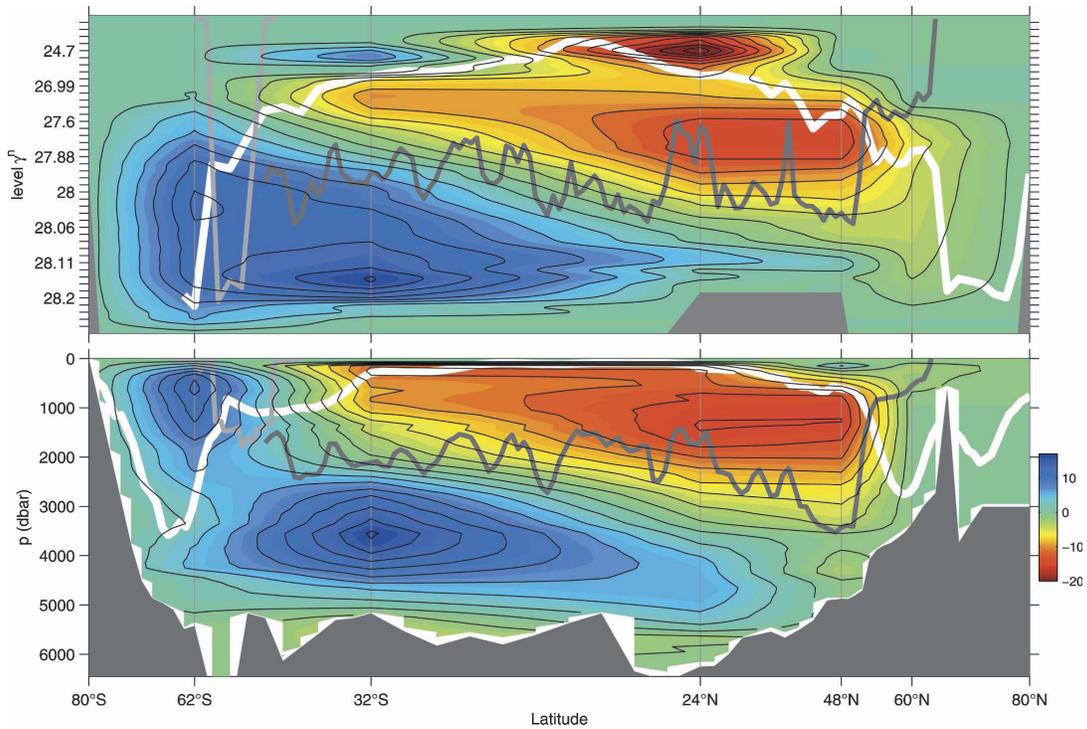


FIG. 1. Zonally averaged global overturning streamfunction in units of Sv and with contour interval of 2 Sv ( $1 \text{ Sv} = 10^6 \text{ m}^3 \text{ s}^{-1}$ ) in (top) density and (bottom) pressure coordinates across hydrographic sections (vertical gray lines) with linear interpolation between the sections. Typical winter mixed layer densities/depths (white lines), the mean depth of ocean ridge crests (dark gray lines), and the depth of the Scotia Arc east of Drake Passage (light gray lines) are also shown. From Lumpkin and Speer (2007).

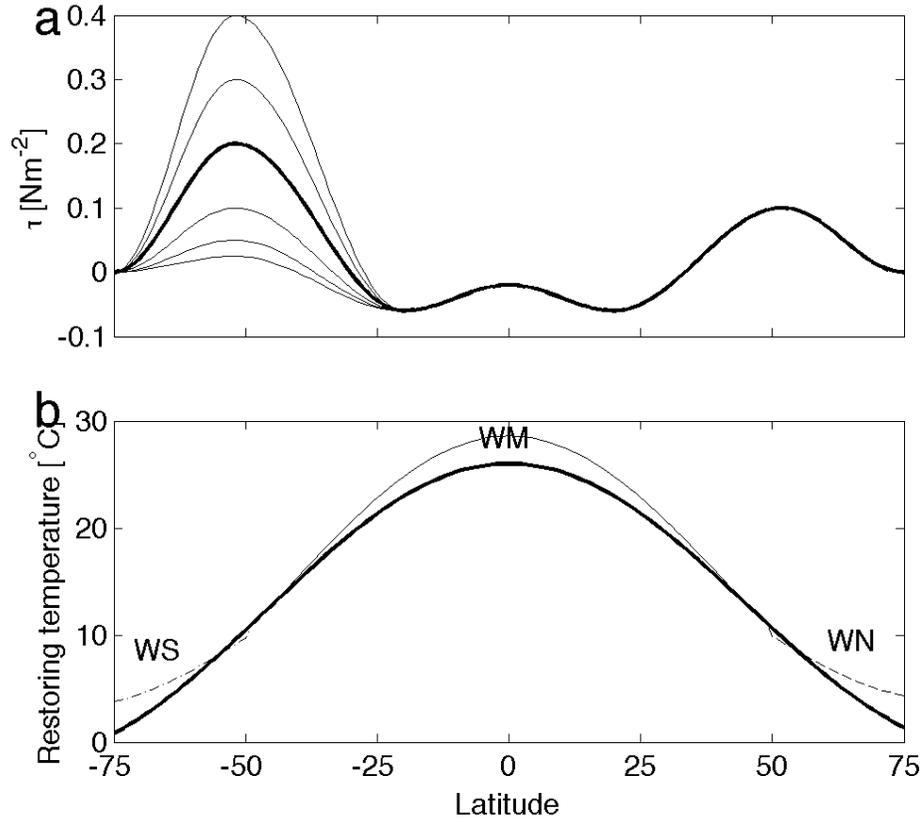


FIG. 2. **(a)** Surface wind stress profile in the reference case with  $\tau_s = 0.2 \text{ Nm}^{-2}$  (thick solid line) and in different sets for  $\tau_s = 0.0025, 0.05, 0.1, 0.3, 0.4 \text{ Nm}^{-2}$ . **(b)** profiles of surface restore temperature  $T^*$  used in CTL (thick solid line) of all sets of runs. The thin dashed line denotes the restore temperature in experiment WN that differs from CTL only in northern high latitudes; the thin solid line depicts the restore temperature in WM that is enhanced from CTL in mid- and low latitudes; the thin dash-dot line depicts the restore temperature in WS that differs from CTL only in southern high latitudes. In experiment W,  $T^*$  is increased uniformly by  $2^{\circ}\text{C}$  (not shown).

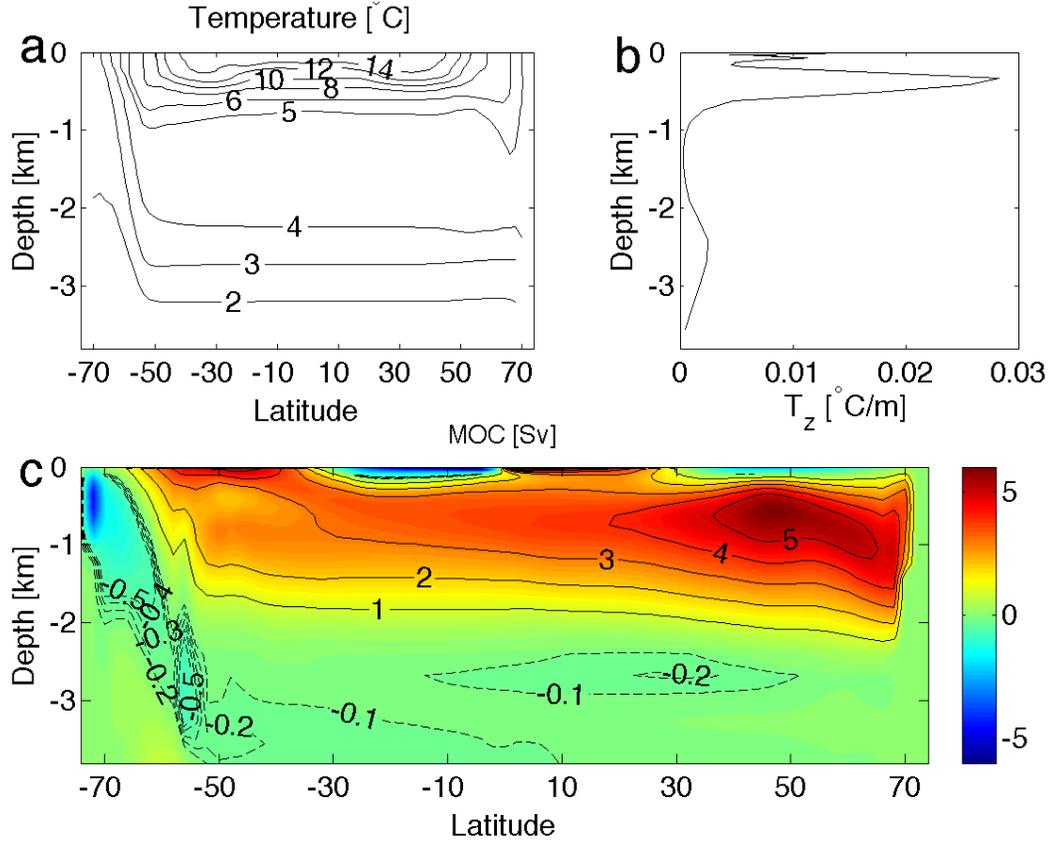


FIG. 3. CTL for the case with  $\kappa = 0.5 \times 10^{-5} m^2 s^{-1}$  and  $\tau_s = 0.2 Nm^{-2}$ . **(a)** contours of zonal and time mean temperature. **(b)**, time and zonal mean vertical temperature gradient near the polar end of the subtropical gyre. Three thermoclines are obvious from the temperature derivative plot. In addition to the ventilated thermocline, there is an internal thermocline and a deep thermocline corresponding respectively to the upper and lower MOC cell. **(c)**, meridional overturning streamfunction, as the sum of the mean and the eddy-driven components,  $\psi = \bar{\psi} + \psi^*$ , in depth coordinates. Solid contours denote values between 1Sv (1 Sv =  $10^6 m^3 s^{-1}$ ) and 5Sv with interval of 1Sv, and dashed contours have values between -0.5Sv and -0.1Sv with interval of 0.1Sv. The strength of lower MOC cell is much weaker than that of the upper MOC cell at this low diffusion limit.

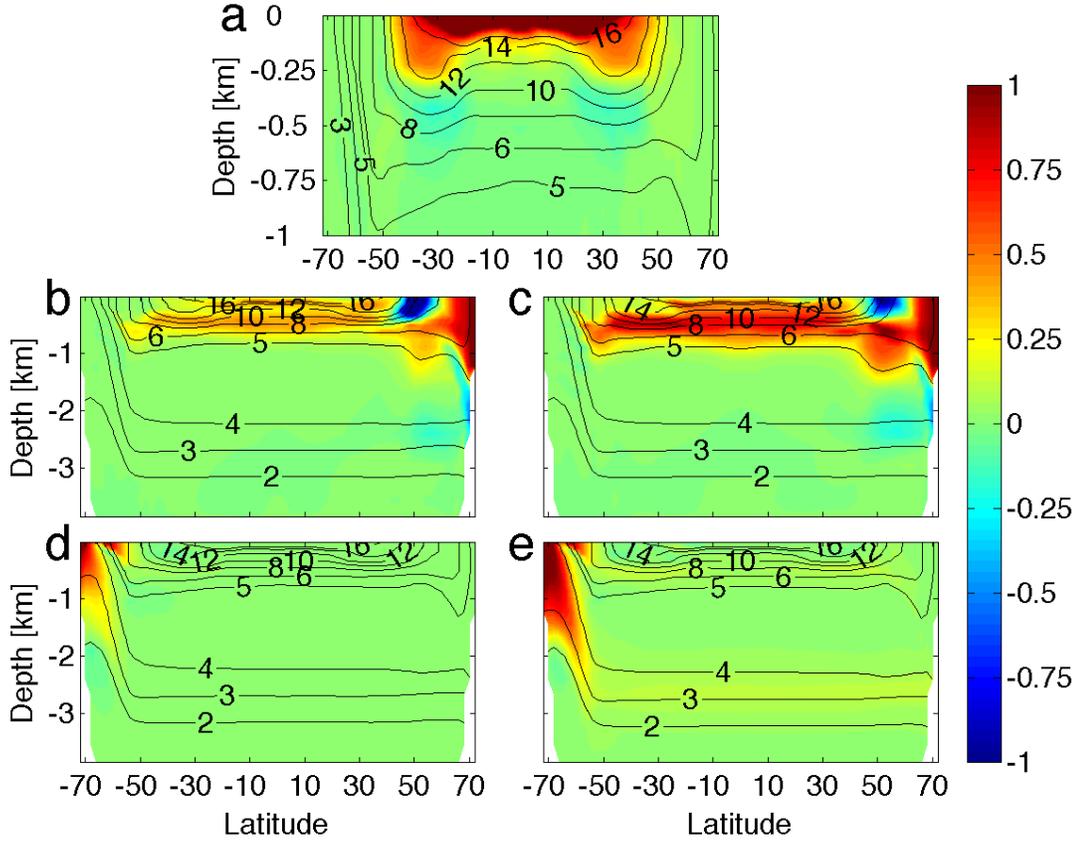


FIG. 4. Temperature change in WM at year 25 (**a**), in WN at year 25 (**b**) and year 50 (**c**), and in WS at year 50 (**d**) and year 400 (**e**). In all experiments,  $\kappa = 0.5 \times 10^{-5} m^2 s^{-1}$  and  $\tau_s = 0.2 Nm^{-2}$ . The ‘change’ is referred to as the difference between the experiment and CTL in the final 800 years of the integration. Clearly, the penetration depth of ocean heat uptake increases in the three experiments, as surface warming at different regions initializes different driving mechanisms. Please note that our time axis starts at the beginning of warming experiments.

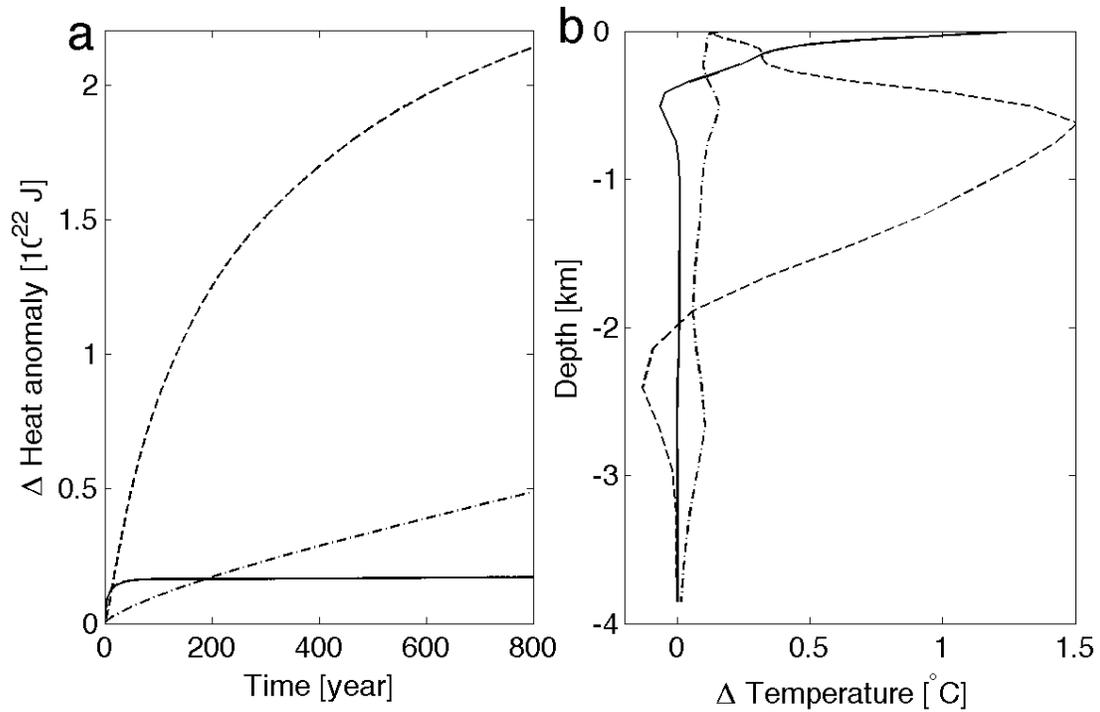


FIG. 5. **(a)** Change of ocean heat content in WM (solid), WN (dashed), and WS (dash-dot) . **(b)** vertical distribution of temperature change in WM (solid), WN (dashed) and WS (dash-dot) at year 400. All experiments are for the case with  $\kappa = 0.5 \times 10^{-5} m^2 s^{-1}$  and  $\tau_s = 0.2 Nm^{-2}$ . Ocean takes up heat over quite different time scales in the three experiments. In WM, the heat uptake is concentrated near the surface, while in WN and WS, heat is accumulated at mid-depth and in the abyss.

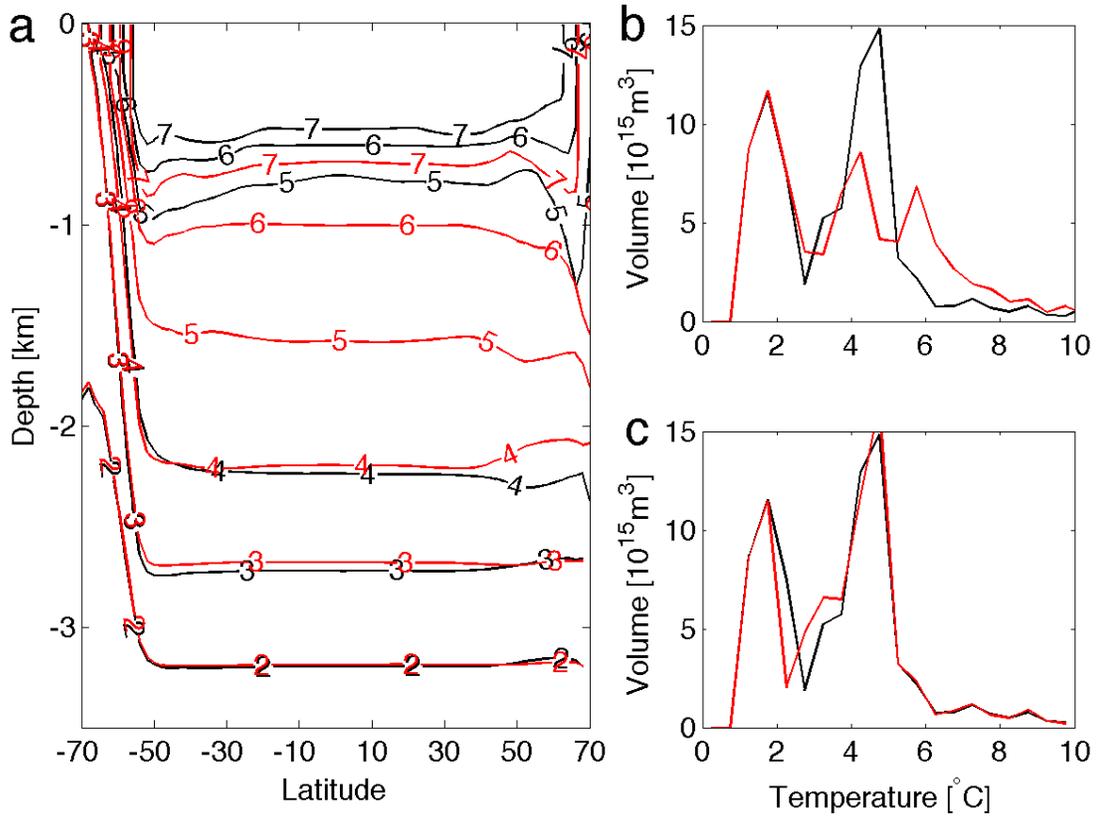


FIG. 6. **(a)** Annual and zonal mean temperature at year 400 in CTL (black) and WN (red). Volumes of water masses versus temperature at CTL (black) and year 400 (red) of experiment WN **(b)** and WS **(c)**.

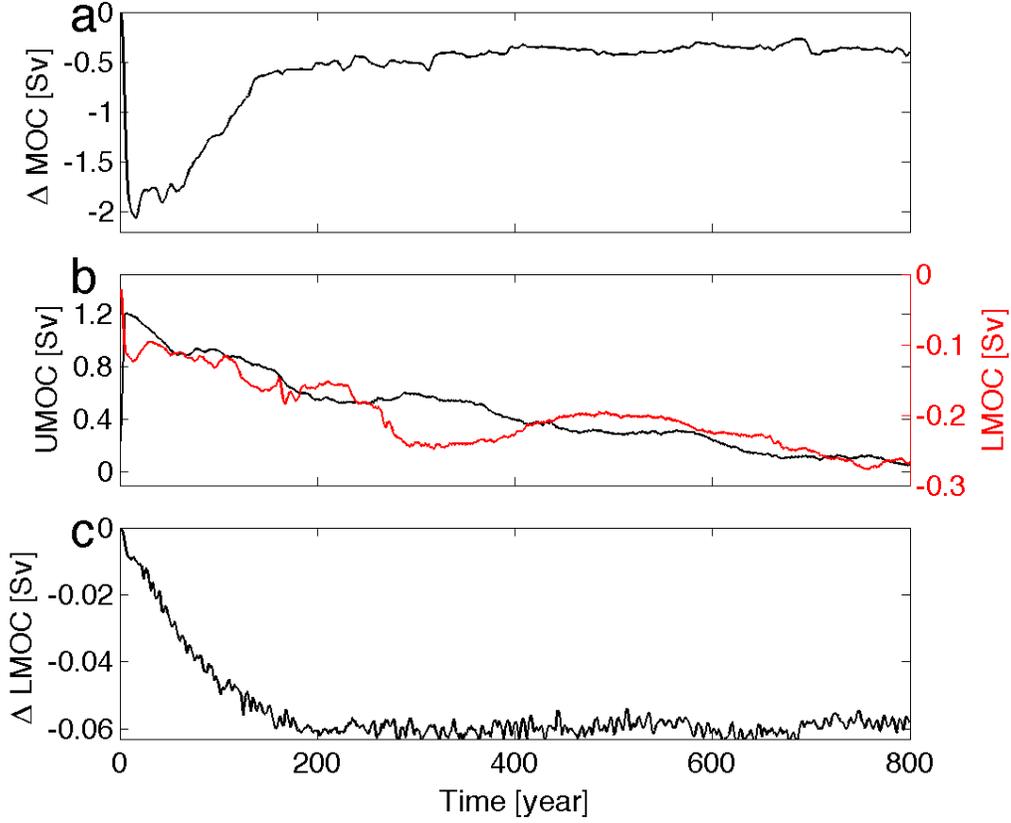


FIG. 7. **(a)** Change of the strength of the upper meridional overturning circulation ( $\Delta$ UMOC), defined as the maximum meridional overturning streamfunction in density space, in WN. **(b)** black line: the upper meridional overturning streamfunction (UMOC) averaged within the channel and in the temperature range of 4-5°C in WN; red line: the lower meridional overturning circulation (LMOC) averaged within the channel and below temperature 4°C in WN. Positive value means clockwise circulation, and negative value means counter-clockwise circulation. **(c)** decline of the strength (absolute value) of the lower meridional overturning circulation ( $\Delta$ LMOC) averaged below temperature 4°C and north of 48°S in WS. This is for the case with  $\kappa = 0.5 \times 10^{-5} m^{-2} s^{-1}$  and  $\tau_s = 0.2 Nm^{-2}$ . In WN, the upper MOC cell and the lower MOC cell have continued decline and increase in the channel. In WS, the lower MOC cell is reduced as a consequence of slumping isopycnals. All data are 5-year running average.

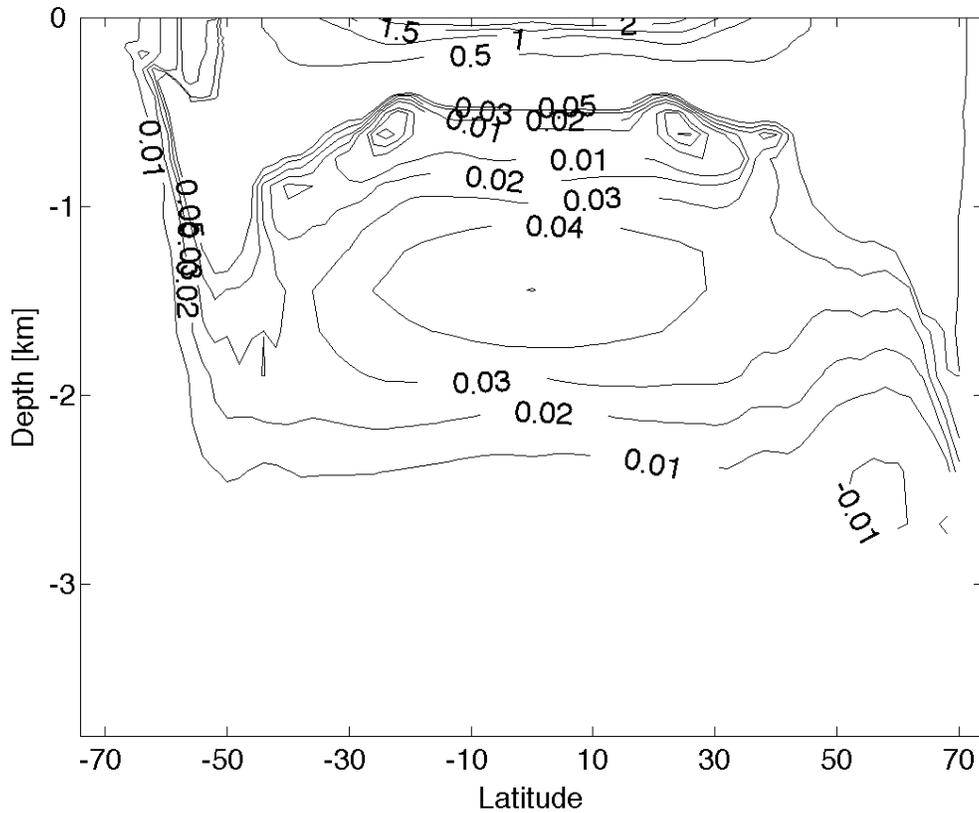


FIG. 8. Contours of temperature change in WM at year 400. Under the warming in low- and mid-latitudes, the mid-depth ocean in conjunction with the upper MOC cell warms up slowly and heat extends southward from northern high latitudes. Contour intervals are  $0.5^{\circ}\text{C}$  above  $5$  and below  $-5^{\circ}\text{C}$ , and  $0.01^{\circ}\text{C}$  in between.

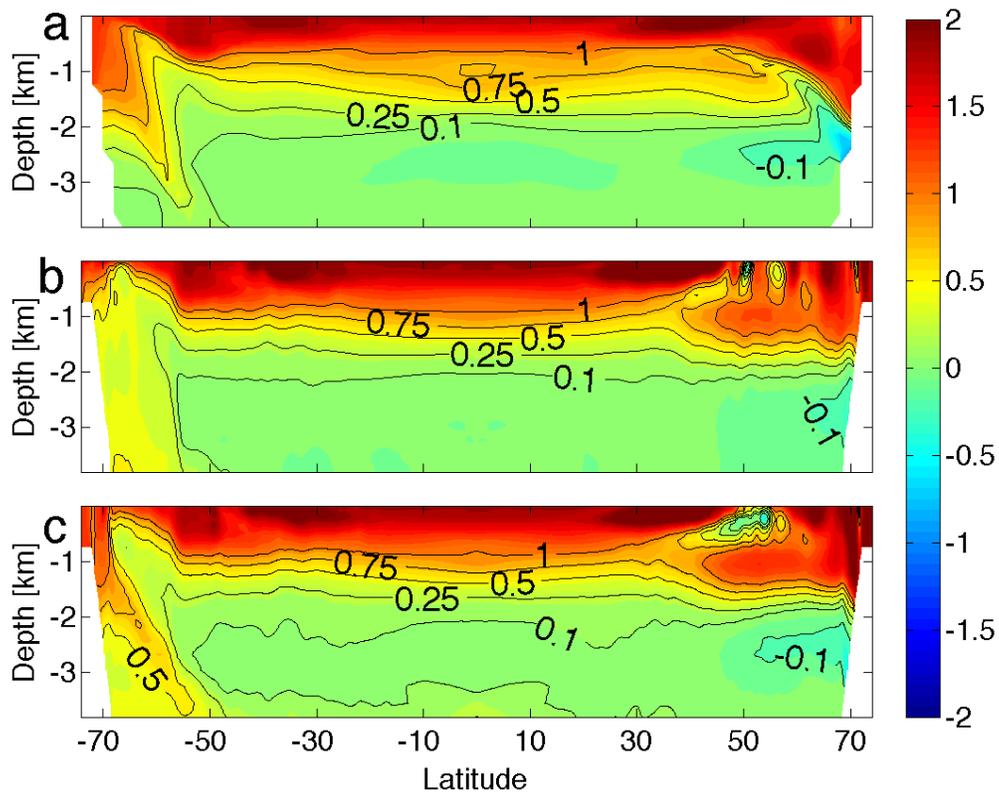


FIG. 9. Change of the zonal mean temperature averaged between year 90-100 in W in the coarse resolution run (a), 0.25° run (b) and 0.125° run (c).

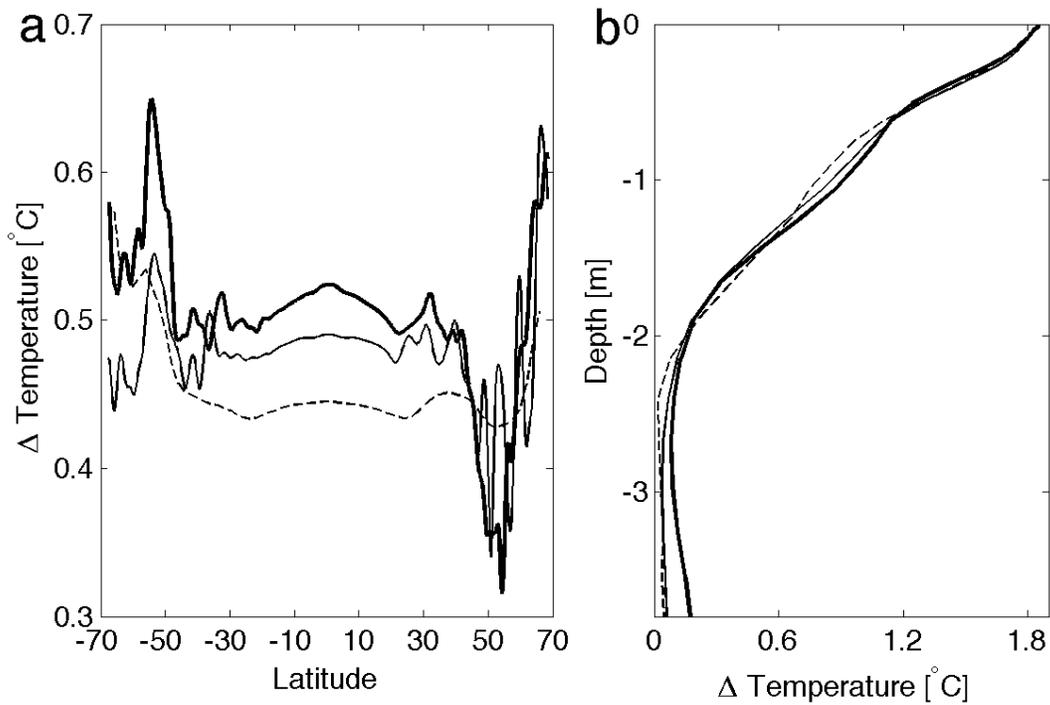


FIG. 10. Latitudinal (**a**) and vertical distribution (**b**) of temperature change averaged between year 90-100 in W in the coarse resolution run (dashed), 0.25 $^{\circ}$  run (solid) and 0.125 $^{\circ}$  run (thick solid). It is noticeable in **a** that the southern high latitude warming is weaker in the eddy-permitting model than in the eddy-resolution model, and the cooling tendency near the polar edge of the subtropical gyre is strongest in the eddy-resolving model. In **b**, the change of heat content is stronger in the abyssal ocean in the eddy-resolving model.

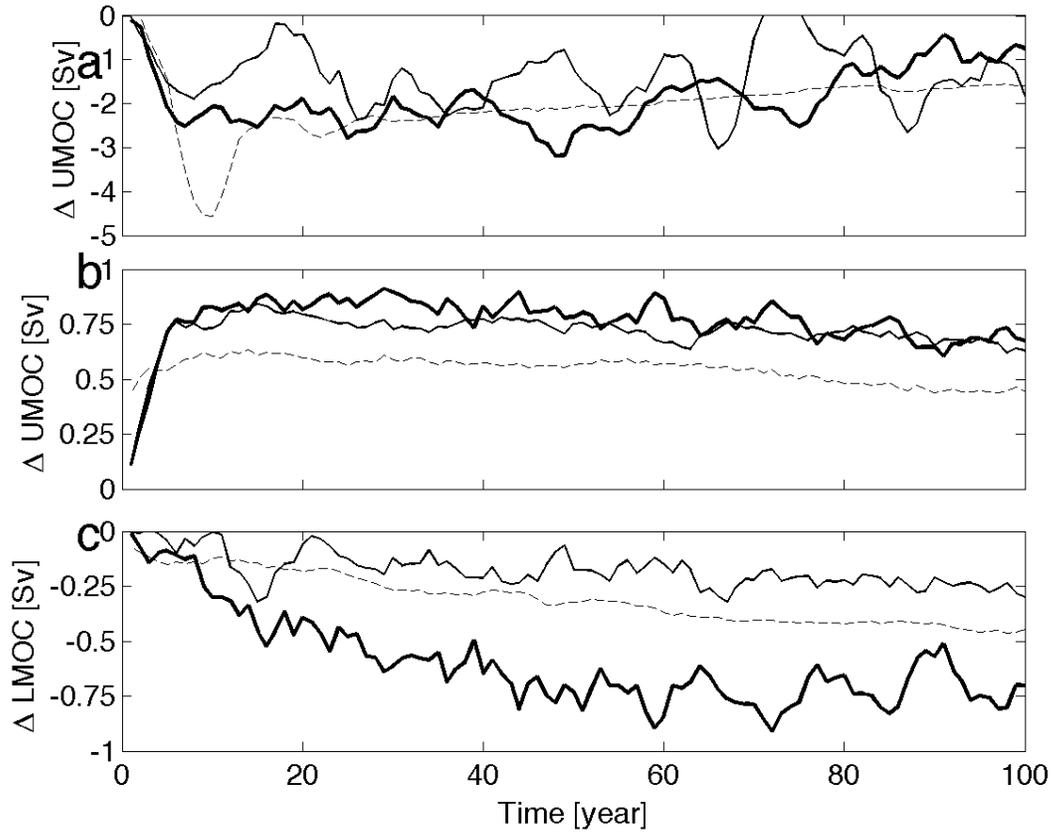


FIG. 11. **(a)** Change of the strength of the upper MOC cell, defined as the maximum strength of the overturning streamfunction in the coarse (dashed),  $0.25^\circ$  (solid) and  $0.125^\circ$  (thick solid) model. **(b)** change of the strength of the upper MOC cell within the channel ( $65^\circ$ – $55^\circ$  S), calculated as the average within the range of  $5$ – $10^\circ\text{C}$  in the coarse (dashed),  $0.25^\circ$  (solid) and  $0.125^\circ$  (thick solid) model. **(c)** change of the strength of the lower MOC cell (absolute value) within the channel, calculated as the average below  $4^\circ\text{C}$ , in the coarse (dashed),  $0.25^\circ$  (solid), and  $0.125^\circ$  (thick solid) model. All data are 5-year running average.

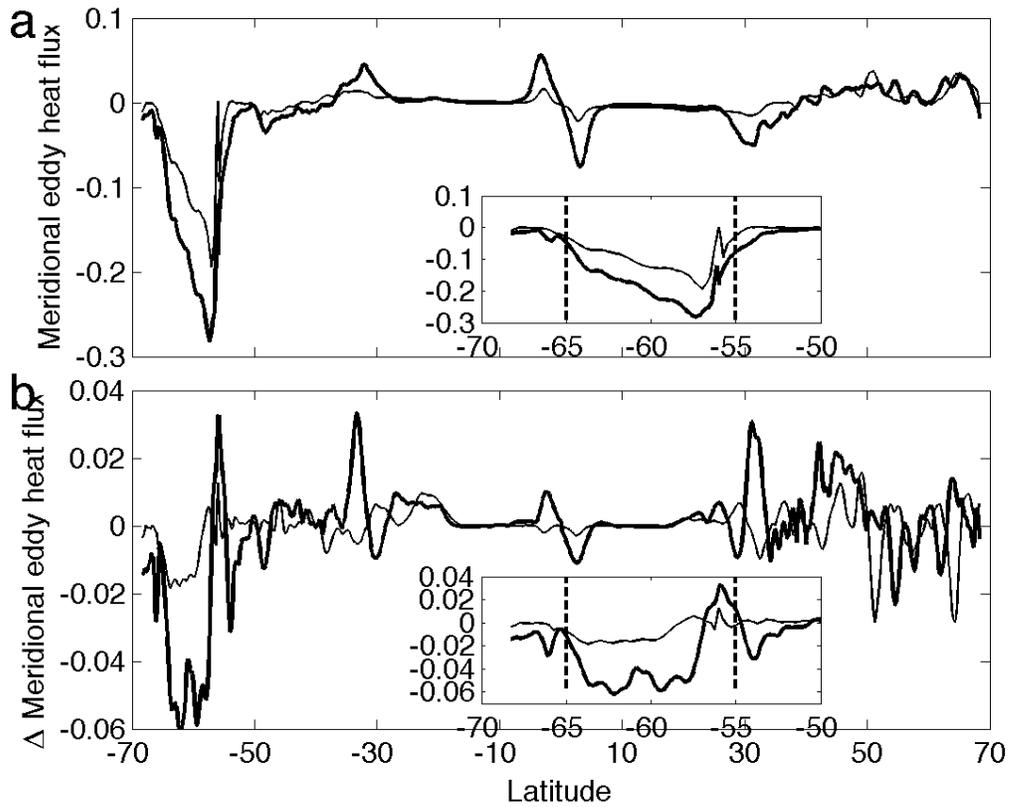


FIG. 12. **(a)** Meridional eddy heat transport normalized by the maximum total meridional heat transport in CTL in the eddy-permitting (solid) and eddy-resolving model(thick solid). **(b)** change of the meridional eddy heat transport (absolute value) normalized by the maximum total meridional heat transport over all latitudes in W in the eddy-permitting (solid) and eddy-resolving (thick solid) model. All data are averaged between year 90-100. The insets show results between  $-70^{\circ}\text{S}$  and  $-50^{\circ}\text{S}$ . Thick dashed lines denote the meridional range of the circumpolar channel.

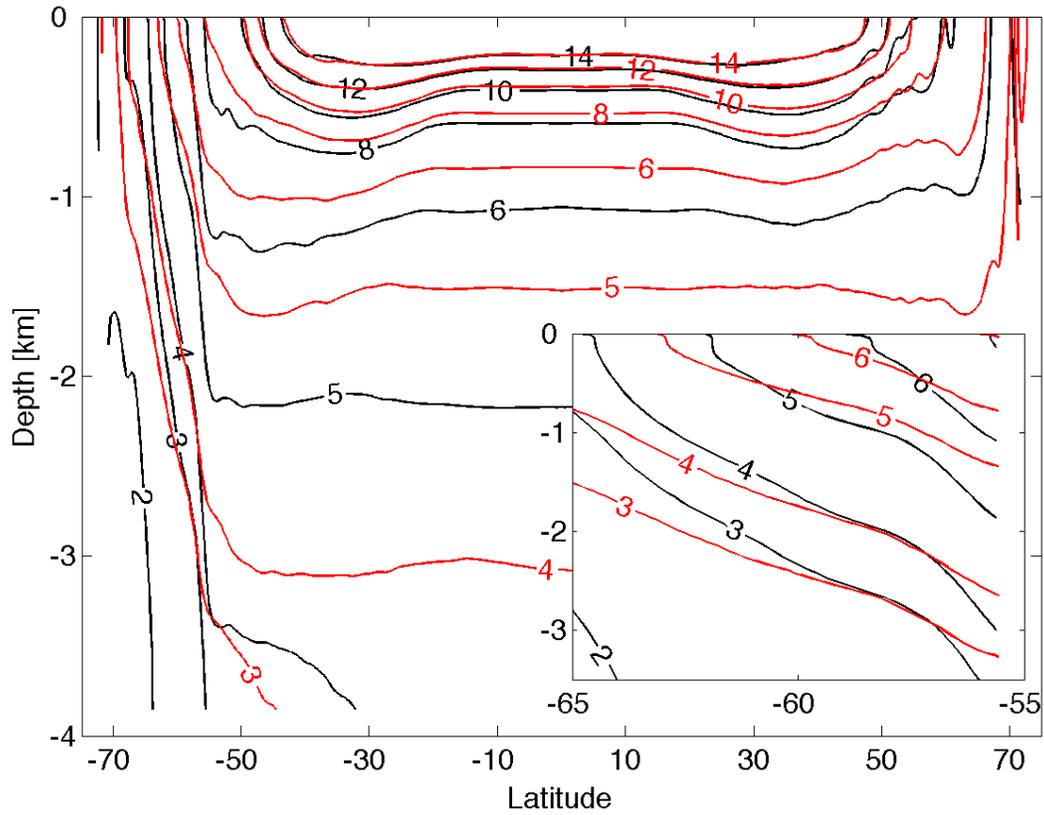


FIG. 13. Time and zonal mean temperature in CTL averaged between year 90-100 in the eddy-permitting (black) and eddy-resolving (red) model. The inset shows the result in the channel.

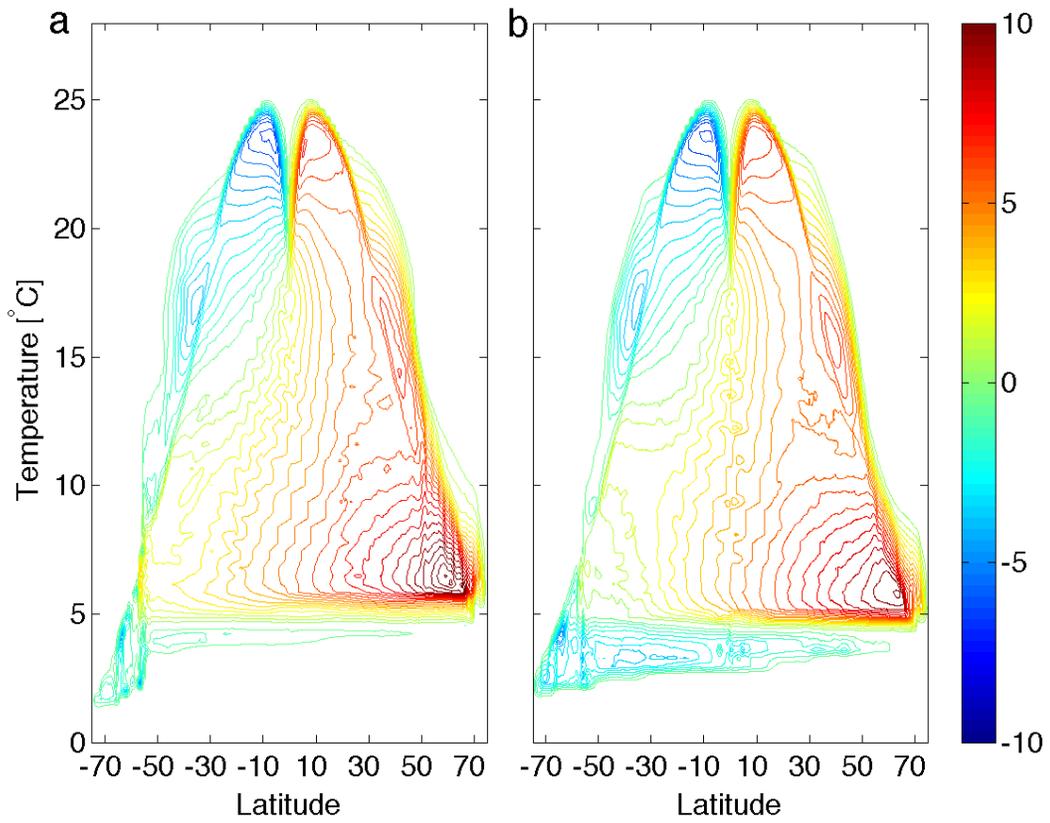


FIG. 14. Meridional overturning streamfunction in density space in CTL averaged between year 90-100 with contour intervals of 0.5 Sv in the eddy-permitting (**a**) and eddy-resolving (**b**) model.

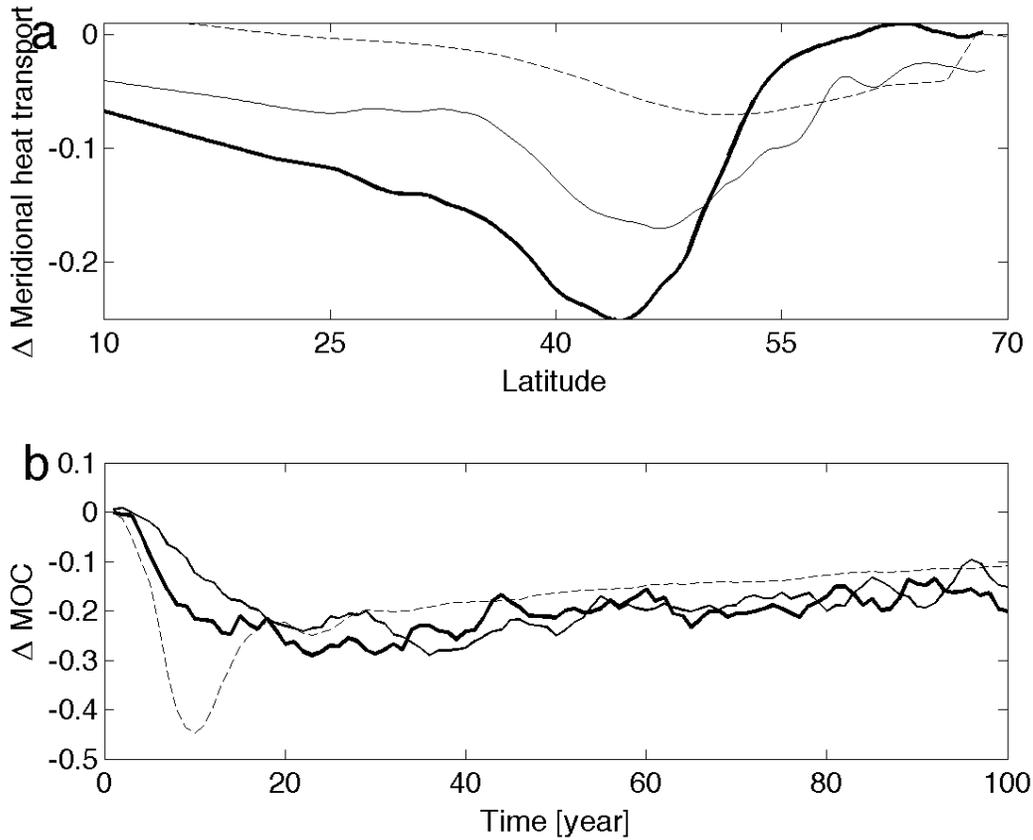


FIG. 15. **a** Change of the total meridional heat transport normalized by its maximum value over all latitudes between year 90-100 in W in the coarse resolution (dashed), eddy-permitting (solid) and eddy-resolving (thick solid) model. **b** Change of the meridional overturning circulation in the coarse resolution (dashed), eddy-permitting (solid) and eddy-resolving (thick solid) model in W. The MOC strength is defined as the maximum overturning streamfunction below  $10^\circ\text{C}$  at each latitude and averaged between  $40^\circ\text{-}50^\circ\text{N}$ . The result is then normalized by its time-mean strength in the control climate.

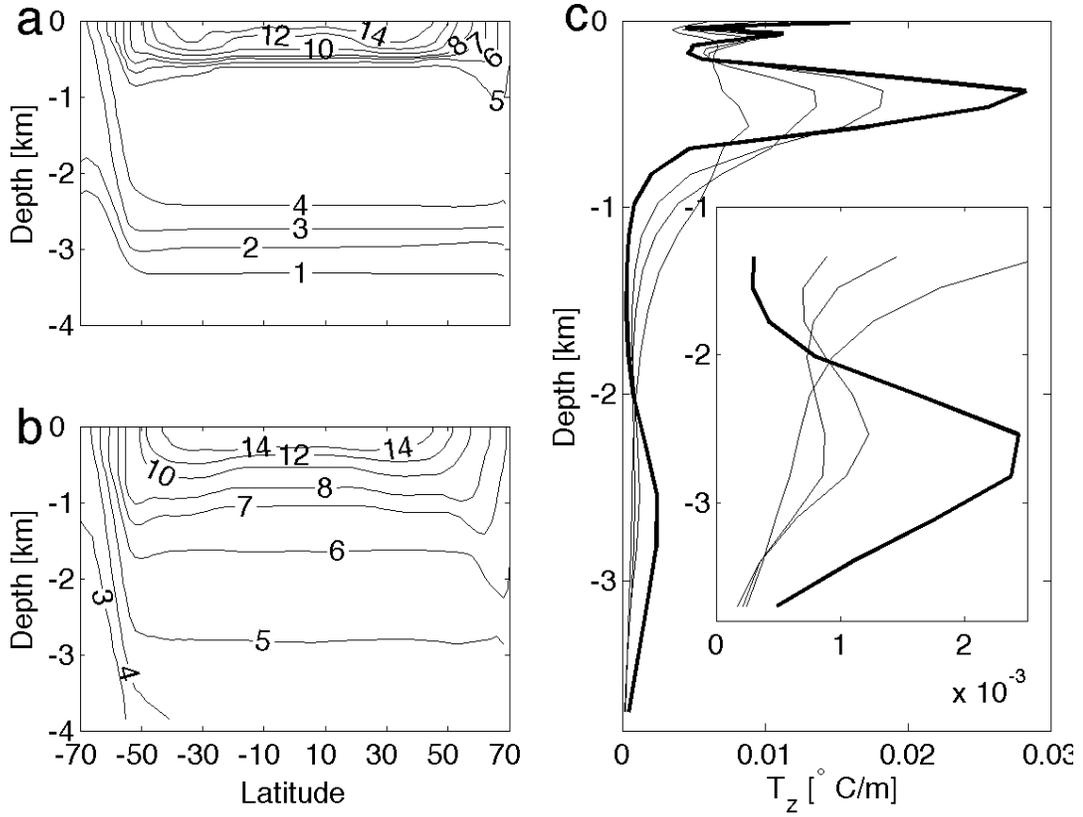


FIG. 16. Zonal and time mean temperature in CTL for  $\kappa = 0.1 \times 10^{-5} m^2 s^{-1}$  (a) and  $6 \times 10^{-5} m^2 s^{-1}$  (b). (c) vertical gradients of mean temperature for  $\kappa = 0.5 \times 10^{-5}$  (thick solid),  $2 \times 10^{-5}$ ,  $4 \times 10^{-5}$ , and  $10^{-4} m^2 s^{-1}$ . The maximum vertical gradient declines with increasing  $\kappa$ .

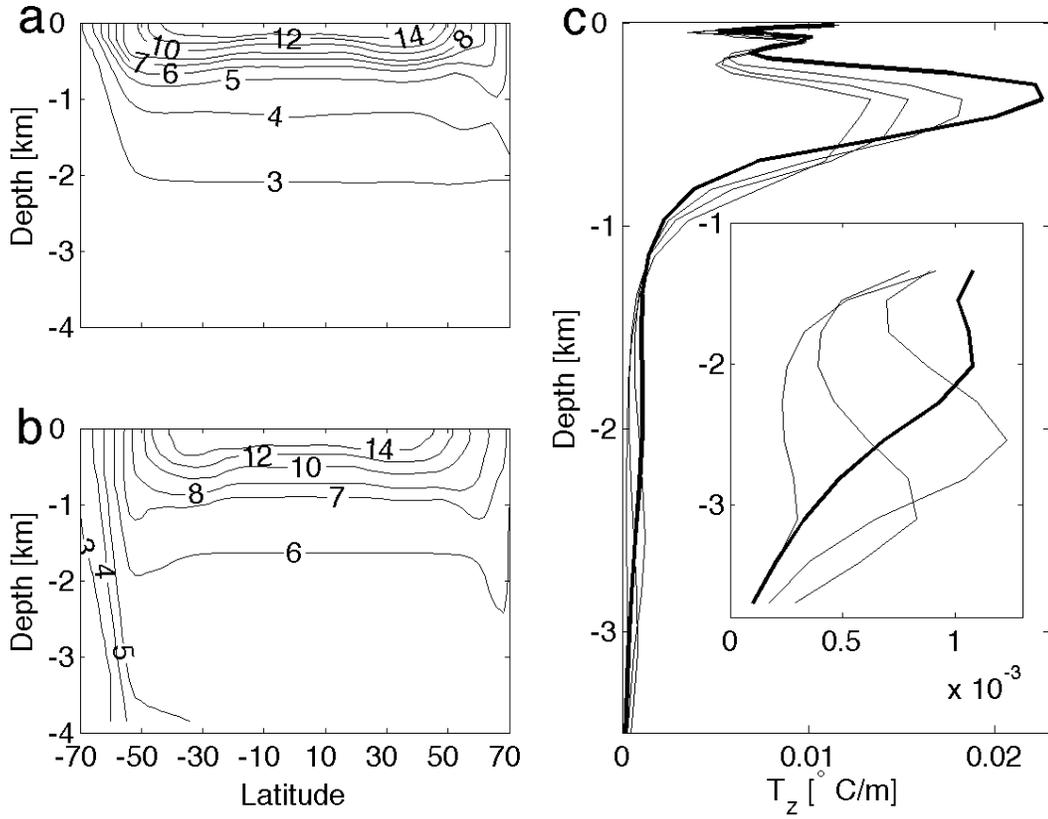


FIG. 17. Zonal and time mean temperature in CTL for  $\tau_s = 0.025$  (a) and  $0.4 Nm^{-2}$  (b). (c) vertical gradients of mean temperature for  $\tau_s = 0.05$  (thick solid), 0.2, 0.3, and  $0.4 Nm^{-2}$ . The maximum vertical gradient declines with increasing  $\tau_s$ .

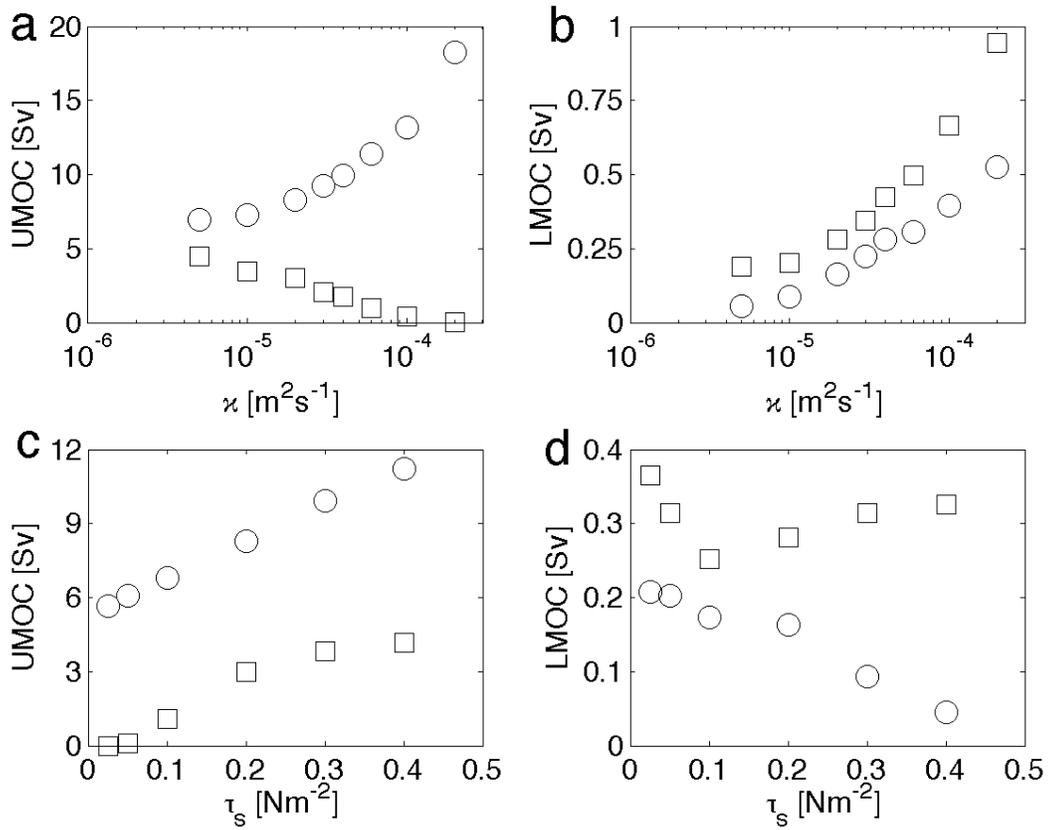


FIG. 18. Meridional overturning circulation in CTL. The rate of the upper meridional overturning circulation in the northern hemisphere (circles) and in the channel (squares) for different  $\kappa$  (**a**) and different  $\tau_s$  (**c**). The rate of the lower meridional overturning circulation in the northern hemisphere (circles) and in the channel (squares) for different  $\kappa$  (**b**) and different  $\tau_s$  (**d**).

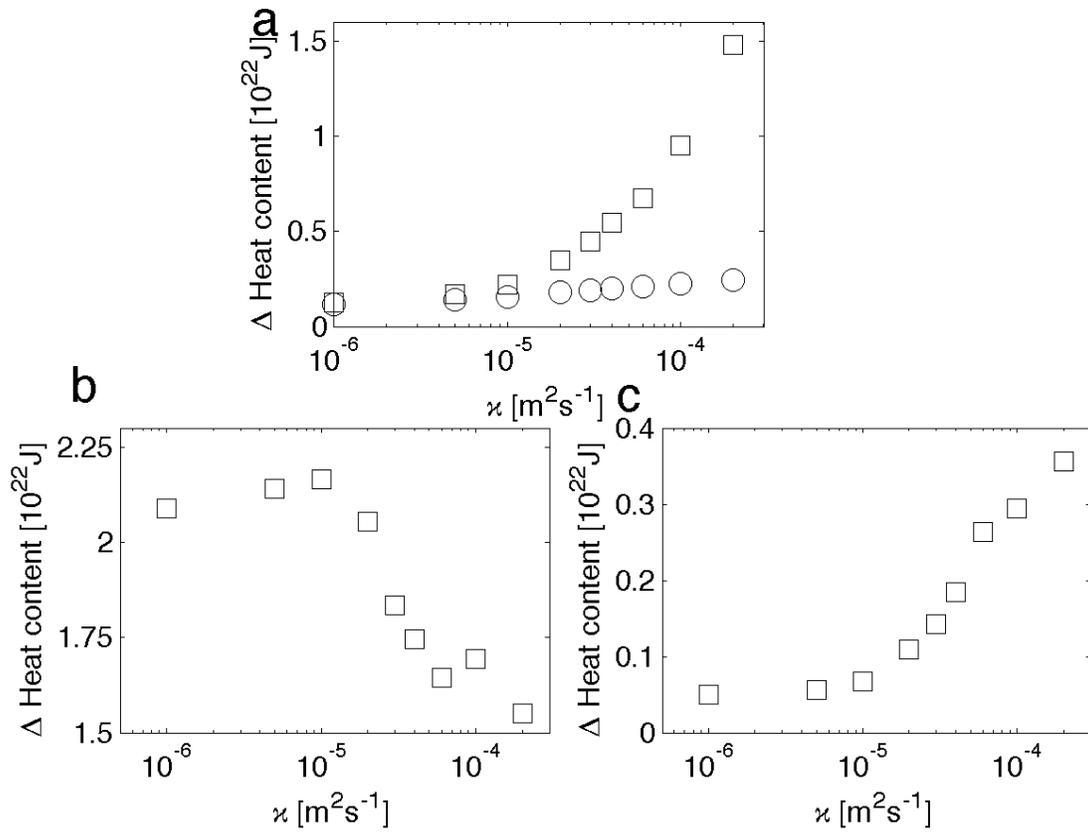


FIG. 19. **(a)** Change of ocean heat content in WM for the entire depth (squares) and above 150 m (circles) at year 800. **(b)** change of ocean heat content in WN at year 800. **(c)** change of ocean heat content in the lower MOC cell in WS and CTL at year 100. Ocean heat uptake at year 100 instead of year 800 is taken for WS in order to have minimum warming within the upper MOC cell.

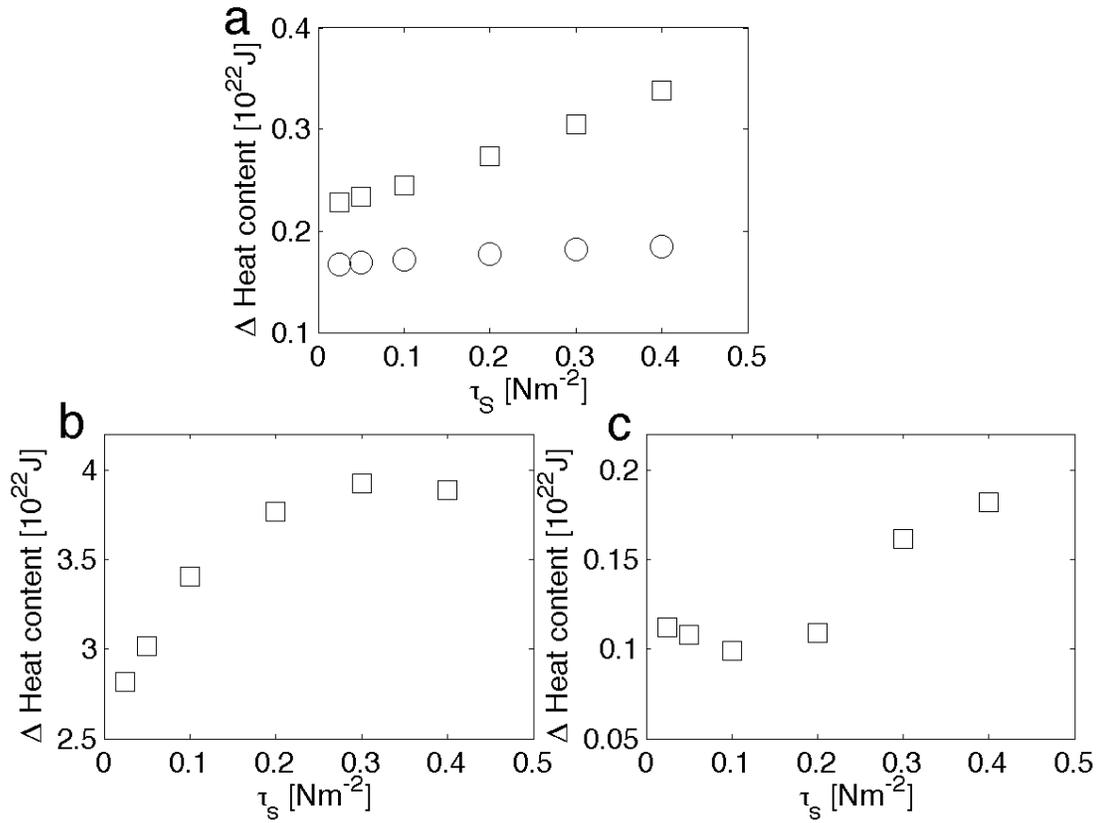


FIG. 20. **(a)** Change of ocean heat content in W for the entire depth (squares) and above 150 m (circles) at year 800. **(b)** change of ocean heat content in WN at year 800. **(c)** change of ocean heat content in the lower MOC cell in WS at year 100. Ocean heat uptake at year 100 instead of year 800 is taken for WS in order to have minimum warming within the upper MOC cell.

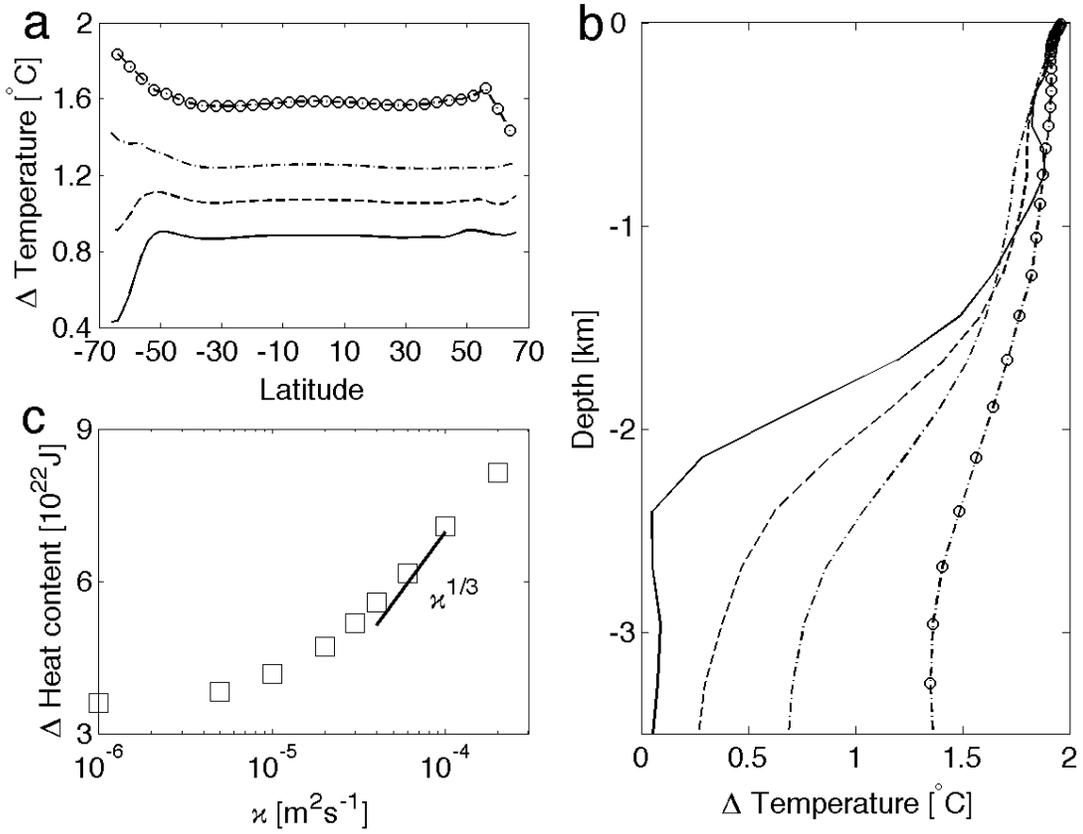


FIG. 21. Meridional (a) and vertical (b) distribution of change of ocean temperature, and total change of heat content (c) at year 800 in W for  $\kappa = 0.5 \times 10^{-5}$  (solid),  $2 \times 10^{-5}$  (dashed),  $4 \times 10^{-5}$  (dash-dot), and  $10^{-4} m^2 s^{-1}$  (line with circles).

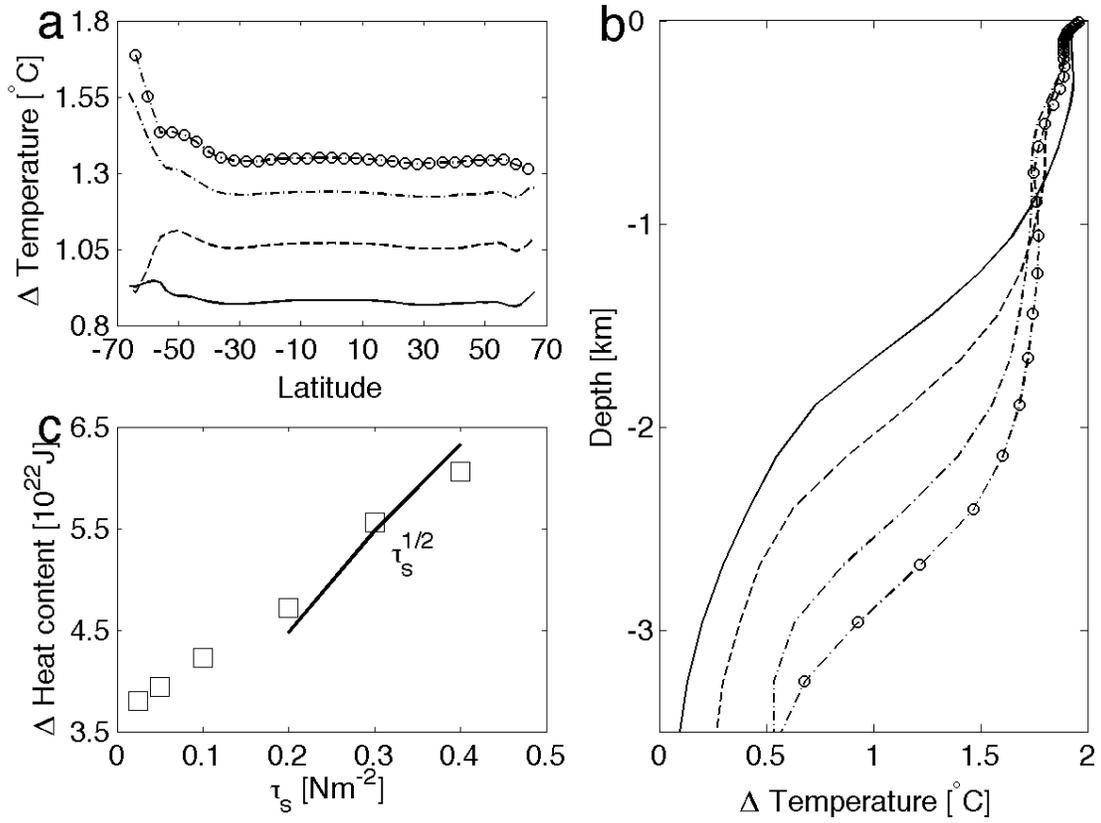


FIG. 22. Meridional (**a**) and vertical (**b**) distribution of change of ocean temperature, and total change of heat content (**c**) at year 800 in W for  $\tau_s = 0.05$  (solid), 0.2 (dashed), 0.3 (dash-dot), and  $0.4 \text{ Nm}^{-2}$  (line with circles).